## FORM 4

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL							
OMB Number:	3235-0287						

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Check this box if no longer subject to	
Section 16. Form 4 or Form 5	
obligations may continue. See	
Instruction 1(h)	

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

								` '				' '									
1. Name and Address of Reporting Person*  VANDERPLOEG MARTIN J						2. Issuer Name <b>and</b> Ticker or Trading Symbol WORKIVA INC [ WK ]										Relationship of Reporting Person(s) to Issuer (Check all applicable)     X Director X 10% Owner					
(Last) (First) (Middle) 2900 UNIVERSITY BOULEVARD						. Date 5/10/2		iest Tr	ansaction	n (Mo	onth/[	Day/Year)	X	X Officer (give title Other (specify below)  President & CEO							
(Street) AMES IA 50010					4.	. If Am	endme	nt, Da	te of Oriç	ginal	Filed	(Month/E	Individual or Joint/Group Filing (Check Applicable Line)     X Form filed by One Reporting Person     Form filed by More than One Reporting								
(City) (State) (Zip)													Person								
Table I - Non-Deriva  1. Title of Security (Instr. 3)  2. Transac Date (Month/Date)				saction	tion 2A. Deemed Execution Date, if any			te, 3.	3. 4. Securi Transaction Dispose Code (Instr.			urities Acquired (A) or sed Of (D) (Instr. 3, 4 an			5. Amount of Securities Beneficially Owned Following		Form: (D) or	Direct I	7. Nature of Indirect		
							(Month		8) Code V		Amount	(A) (D)	(A) or (D) Pric		Reported Transacti (Instr. 3 a	on(s)	(I) (Instr. 4)		Ownership (Instr. 4)		
Class A (	Common St	ock		05/10	0/201	2019			S	(1)		12,06	.2,063 D		3.99 <sup>(2)</sup>	387,	7,937			By living trust	
Class A Common Stock 05/10/2					0/201	2019			S	(1)		12,93	37 I	\$5	5.17 <sup>(3)</sup>	375,	375,000		00 I		
Class A (	Common Sto	ock														314,	918		D		
			Table II -										f, or Be tible se			wned					
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security  3. Transaction Date (Month/Day/Year)  3. To Deemed Execution Date, if any (Month/Day/Year)  (Month/Day/Year)  3. To Deemed Execution Date, if any (Month/Day/Year)  4. Transaction Code (Instr. 8)  5. Number Code (Instr. 9) Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		rative rities iired r osed )	6. Date Exercisable and Expiration Date (Month/Day/Year)				7. Title at Securitie Derivativ (Instr. 3 a	s Underly e Securit	ing Derivative		9. Numbe derivative Securities Beneficia Owned Following Reported Transacti (Instr. 4)	Ownersh Form: Direct (D or Indirect (I) (Instr.	Ownership	Beneficial Ownership ct (Instr. 4)						
				(	Code	v	(A) (D		Date Exercisa	able	Ex Da	piration ite	Title	Amour Numbe Shares	er of						
Class B Common Stock <sup>(4)</sup>	(4)								(4)		(4)		Class A Common Stock	2,515	,009		2,515,009		I	By living trust	
Class B Common Stock <sup>(4)</sup>	(4)								(4)			(4)	Class A Common Stock	889,	020		889,02	20	I	By charitable remainder trust	
Employee Stock Option to Purchase Class A Common Stock <sup>(5)</sup>	\$12.4								02/01/20	)18 <sup>(6)</sup>	01	/31/2027	Class A Common Stock	200,	204		200,20	)4	D		
Employee Stock Option to Purchase Class A Common Stock <sup>(5)</sup>	\$14.74								02/01/20	)17 <sup>(6)</sup>	01	/31/2026	Class A Common Stock	168,	421		168,42	21	D		
Employee Stock Option to Purchase Class A Common	\$15.83								08/12/20	)15 <sup>(8)</sup>	08	/11/2024	Class A Common Stock	178,	200		178,20	00	D		

## Explanation of Responses:

- 1. The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on August 23, 2018.
- 2. The price reported in Column 4 is a weighted-average price. The prices actually received ranges from \$53.75 to \$54.70. For all transactions reported in this Form 4 utilizing a weighted-average price, the reporting person undertakes to provide upon request by the SEC staff, the issuer, or a security holder of the issuer, full information regarding the number of shares sold at each separate price within the range.
- 3. The price reported in Column 4 is a weighted-average price. The prices actually received ranges from \$54.76 to \$55.75. For all transactions reported in this Form 4 utilizing a weighted-average price, the reporting person undertakes to provide upon request by the SEC staff, the issuer, or a security holder of the issuer, full information regarding the number of shares sold at each separate price within the range.
- 4. Each share of Class B Common Stock is convertible, at any time at the election of the holder, into one share of Class A Common Stock. In addition, each share of Class B Common Stock will convert automatically into one share of Class A Common Stock upon (i) the date specified by the holders of at least 66 2/3% of the outstanding shares of Class B Common Stock, (ii) any transfer, except for certain "qualified transfers" (as defined in the Issuer's Certificate of Incorporation) or (iii) upon the death of a natural person holding shares of Class B Common Stock (subject to certain exceptions as defined in the Issuer's Certificate of Incorporation).
- 5. Grant of stock option pursuant to the 2014 Equity Incentive Plan.

- $6.\ Vests\ in\ three\ equal\ annual\ installments\ commencing\ on\ the\ first\ anniversary\ of\ the\ grant\ date.$
- 7. Granted pursuant to 2009 Unit Incentive Plan.
- 8. Vests as to 25% of the shares on the first anniversary of the grant date and as to 6.25% of the shares at the end of each three-month period thereafter.

## Remarks:

/s/ Troy M. Calkins as attorneyin-fact for Martin J. 05/14/2019 <u>Vanderploeg</u>

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.