

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP**

OMB APPROVAL	
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

<b>1. Name and Address of Reporting Person*</b> <u>VANDERPLOEG MARTIN J</u>  (Last) (First) (Middle) 2900 UNIVERSITY BOULEVARD  (Street) AMES IA 50010  (City) (State) (Zip)	<b>2. Issuer Name and Ticker or Trading Symbol</b> <u>WORKIVA INC [ WK ]</u>	<b>5. Relationship of Reporting Person(s) to Issuer</b> (Check all applicable) <input checked="" type="checkbox"/> Director <input checked="" type="checkbox"/> 10% Owner <input checked="" type="checkbox"/> Officer (give title below) Other (specify below) <b>President &amp; CEO</b>
	<b>3. Date of Earliest Transaction (Month/Day/Year)</b> 01/18/2019	
<b>4. If Amendment, Date of Original Filed (Month/Day/Year)</b>		

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
Class A Common Stock	01/18/2019		F <sup>(1)</sup>		1,154	D	\$38.22	258,923	D	
Class A Common Stock	01/19/2019		F <sup>(1)</sup>		802	D	\$38.22	258,121	D	
Class A Common Stock								475,000	I	By living trust

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	V	(A)	(D)	Date Exercisable	Expiration Date					
Class B Common Stock <sup>(2)</sup>	(2)							(2)	(2)	Class A Common Stock		2,515,009	I	By living trust
Class B Common Stock <sup>(2)</sup>	(2)							(2)	(2)	Class A Common Stock		889,020	I	By charitable remainder trust
Employee Stock Option to Purchase Class A Common Stock <sup>(3)</sup>	\$12.4							02/01/2018 <sup>(4)</sup>	01/31/2027	Class A Common Stock		200,204	D	
Employee Stock Option to Purchase Class A Common Stock <sup>(3)</sup>	\$14.74							02/01/2017 <sup>(4)</sup>	01/31/2026	Class A Common Stock		168,421	D	
Employee Stock Option to Purchase Class A Common Stock <sup>(5)</sup>	\$15.83							08/12/2015 <sup>(6)</sup>	08/11/2024	Class A Common Stock		178,200	D	

**Explanation of Responses:**

- Shares delivered to the issuer for the payment of withholding taxes due upon the vesting of restricted stock units previously granted.
- Each share of Class B Common Stock is convertible, at any time at the election of the holder, into one share of Class A Common Stock. In addition, each share of Class B Common Stock will convert automatically into one share of Class A Common Stock upon (i) the date specified by the holders of at least 66 2/3% of the outstanding shares of Class B Common Stock, (ii) any transfer, except for certain "qualified transfers" (as defined in the Issuer's Certificate of Incorporation) or (iii) upon the death of a natural person holding shares of Class B Common Stock (subject to certain exceptions as defined in the Issuer's Certificate of Incorporation).
- Grant of stock option pursuant to the 2014 Equity Incentive Plan.
- Vests in three equal annual installments commencing on the first anniversary of the grant date.
- Granted pursuant to 2009 Unit Incentive Plan.
- Vests as to 25% of the shares on the first anniversary of the grant date and as to 6.25% of the shares at the end of each three-month period thereafter.

**Remarks:**

/s/ Troy M. Calkins as attorney-  
in-fact for Martin J.  
Vanderploeg

01/23/2019

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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