

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

| OMB APPROVAL | |
|----------------------------------------------|-----------|
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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

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|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 1. Name and Address of Reporting Person* <u>Banarjee Mithun</u> (Last) (First) (Middle) 2900 UNIVERSITY BOULEVARD (Street) AMES IA 50010 (City) (State) (Zip) | 2. Date of Event Requiring Statement (Month/Day/Year) 03/15/2017 | 3. Issuer Name and Ticker or Trading Symbol <u>WORKIVA INC [WK]</u> | |
| | | 4. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner <input checked="" type="checkbox"/> Officer (give title below) Other (specify below) EVP, Global Client Services | 5. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Check Applicable Line) <input checked="" type="checkbox"/> Form filed by One Reporting Person <input type="checkbox"/> Form filed by More than One Reporting Person |

Table I - Non-Derivative Securities Beneficially Owned

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---------------------------------|-------------------------------------------------------|----------------------------------------------------------|-------------------------------------------------------|
|---------------------------------|-------------------------------------------------------|----------------------------------------------------------|-------------------------------------------------------|

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | 4. Conversion or Exercise Price of Derivative Security | 5. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|-----------------------------------------------------------------------|----------------------------------------------------------|-----------------|-----------------------------------------------------------------------------|--------------------------------------------------------|----------------------------------------------------------|-------------------------------------------------------|
| | Date Exercisable | Expiration Date | | | | |
| Employee Stock Option to Purchase Class A Common Stock ⁽¹⁾ | 03/25/2012 ⁽²⁾ | 11/01/2021 | Class A Common Stock | 9,900 | 2.53 | D |
| Employee Stock Option to Purchase Class A Common Stock ⁽¹⁾ | 01/14/2014 ⁽³⁾ | 01/13/2024 | Class A Common Stock | 1,149 | 15.86 | D |
| Employee Stock Option to Purchase Class A Common Stock ⁽¹⁾ | 01/14/2015 ⁽²⁾ | 01/13/2024 | Class A Common Stock | 11,880 | 15.86 | D |
| Employee Stock Option to Purchase Class A Common Stock ⁽¹⁾ | 11/01/2015 ⁽⁴⁾ | 11/05/2024 | Class A Common Stock | 13,860 | 15.83 | D |
| Employee Stock Option to Purchase Class A Common Stock | 04/01/2016 ⁽⁴⁾ | 03/31/2025 | Class A Common Stock | 16,000 | 14.07 | D |
| Employee Stock Option to Purchase Class A Common Stock | 01/04/2017 ⁽⁴⁾ | 01/03/2026 | Class A Common Stock | 40,000 | 16.66 | D |
| Employee Stock Option to Purchase Class A Common Stock | 07/01/2017 ⁽⁴⁾ | 07/31/2026 | Class A Common Stock | 20,000 | 14.28 | D |
| Employee Stock Option to Purchase Class A Common Stock | 01/03/2018 ⁽⁴⁾ | 01/02/2027 | Class A Common Stock | 22,000 | 13.55 | D |

Explanation of Responses:

- Granted pursuant to the 2009 Unit Incentive Plan.
- Vested as to 25% of the shares on the first anniversary of the grant date and then 6.25% of the shares at the end of each three-month period thereafter.
- Fully vested upon the grant date.
- Vests as to 25% of the shares on the first anniversary of the grant date and then 6.25% of the shares at the end of each three-month period thereafter.

Remarks:

/s/ Troy M. Calkins as attorney-in-fact for
Mithun Banarjee
03/27/2017
** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 5 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

POWER OF ATTORNEY

The undersigned hereby constitutes and appoints each of Troy Calkins and Max Eubank, or either of them signing singly, and with full power of substitution, the under

(1) prepare, execute in the undersigned's name and on the undersigned's behalf, and submit to the U.S. Securities and Exchange Commission (the "SEC") a Form ID, inci

(2) execute for and on behalf of the undersigned, in the undersigned's capacity as an officer and/or director of Workiva Inc. (the "Company"), Forms 3, 4, and 5 in a

(3) do and perform any and all acts for and on behalf of the undersigned which may be necessary or desirable to complete and execute any such Form 3, 4, or 5, comple

(4) take any other action of any type whatsoever in connection with the foregoing which, in the opinion of such attorney-in-fact, may be of benefit to, in the best i

The undersigned hereby grants to each such attorney-in-fact full power and authority to do and perform any and every act and thing whatsoever requisite, necessary, c
powers herein granted. The undersigned acknowledges that the foregoing attorneys-in-fact, in serving in such capacity at the request of the undersigned, are not assu
comply with Section 16 of the Securities Exchange Act of 1934. No such attorney-in-fact shall incur any liability to the undersigned for acting or refraining from ac

This Power of Attorney shall remain in full force and effect until the undersigned is no longer required to file Forms 3, 4, and 5 with respect to the undersigned's

This Power of Attorney is governed by Delaware law.

Any reproduced copy of this signed original shall be deemed to be an original counterpart of this Power of Attorney.

IN WITNESS WHEREOF, the undersigned has executed this Power of Attorney this 27th day of February, 2017.

/s/ Mithun Banarjee
Signature

Mithun Banarjee
Print Name