| SEC Form 4 |  |
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## FORM 4

Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

| OMB | APPROVAL       |
|-----|----------------|
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I

|                                 | STATEMENT OF CHANGES IN BENEFICIAL | OWNERSHIP |
|---------------------------------|------------------------------------|-----------|
| to Section 16. Form 4 or Form 5 |                                    |           |
| obligations may continue. See   |                                    |           |

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person <sup>*</sup><br><u>Hawkins Michael D.</u>  |  |                                    | 2. Issuer Name <b>and</b> Ticker or Trading Symbol <u>WORKIVA INC</u> [ WK ] |  |      |                      |                         |   |   | ationship of Reportink all applicable)<br>Director                | 10% C   | Owner           |               |  |  |
|--|--|------------------------------------|--|--|------|----------------------|-------------------------|---|---|---|---|-----------------|---------------|--|--|
| (Last)<br>2900 UNIV  | (First)<br>TERSITY BOULE   | (Middle)                           |  | 3. Date of Earliest Transaction (Month/Day/Year)<br>02/26/2024   |      |                      |                         |   |   | X   | Officer (give title<br>below)<br>EVP                        | Sales           | (specify<br>) |  |  |
|  |  |                                    |  | 4. If Amendment, Date of Original Filed (Month/Day/Year)   |      |                      |                         |   |   | 6. Indi<br>Line)  | 6. Individual or Joint/Group Filing (Check Applicable Line) |                 |               |  |  |
| (Street)   |  |                                    |  |  |      |                      |                         |   |   | X   | Form filed by On  | e Reporting Per | son           |  |  |
| AMES   | IA   | 50010                              |  |  |      |                      |                         |   |   | Form filed by Mo<br>Person  | re than One Re  | porting         |               |  |  |
| (City)   | (State)  | (Zip)                              |  | Rule 10b5-1(c) Transaction Indication  |      |                      |                         |   |   |   |   |                 |               |  |  |
| Check this box to indicate that a transaction was made pursuan satisfy the affirmative defense conditions of Rule 10b5-1(c). See |  |                                    |  |  |      |                      |                         |   |   |   | ten plan that is int  | ended to        |               |  |  |
|  | Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |                                    |  |  |      |                      |                         |   |   |   |   |                 |               |  |  |
| Date   |  | 2. Transacti<br>Date<br>(Month/Day |  | 2A. Deemed     3.     4. Securities Acquestion       Execution Date,<br>if any     Transaction<br>Code (Instr.     Disposed Of (D) (<br>5)       (Month/Day/Year)     8) |      | Acquire<br>(D) (Inst | d (A) or<br>r. 3, 4 and | 5. Amount of<br>Securities<br>Beneficially<br>Owned Following<br>Reported | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4) | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |   |                 |               |  |  |
|  |  |                                    |  |  | Code | v                    | Amount (A) or (D) Pr    |   | Price   | Transaction(s)<br>(Instr. 3 and 4)                                |   | (1150.4)        |               |  |  |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

S

2,381

D

\$86.87

62,453

D

|   |   |  |   |                              |   |      |     | •                                   |                    |             |  |   |  |  |  |
|---|---|--|---|------------------------------|---|------|-----|-------------------------------------|--------------------|-------------|--|---|--|--|--|
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 4.<br>Transa<br>Code (<br>8) |   | of I |     | Expiration Date<br>(Month/Day/Year) |                    | e Amount of |  | Amount of Derivative<br>Securities Security<br>Underlying Derivative<br>Security (Instr. 5) |  | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|   |   |  |   | Code                         | v | (A)  | (D) | Date<br>Exercisable                 | Expiration<br>Date | Title       | Amount<br>or<br>Number<br>of<br>Shares |   |  |  |  |

Explanation of Responses:

Class A Common Stock

**Remarks:** 

/s/ Brandon E. Ziegler as

attorney-in-fact for Michael D. 02/27/2024 Hawkins

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $^{\ast}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

02/26/2024

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.