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FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b)

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Vashington,	D.C.	20549
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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL						
OMB Number: 3235-0287						
Estimated average burden						
hours ner response:	0.5					

Form filed by More than One Reporting

Person

1. Name and Address of Reporting Person*  Klindt Jill  (Last) (First) (Middle)  2900 UNIVERSITY BOULEVARD		Person*	2. Issuer Name <b>and</b> Ticker or Trading Symbol WORKIVA INC [ WK ]		ationship of Reporting Pe k all applicable) Director	10% Owner
		` ,	3. Date of Earliest Transaction (Month/Day/Year) 02/17/2023	X	Officer (give title below)  SVP, CFO, CAO	Other (specify below) & Treasurer
(Street)	IA	50010	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indi	vidual or Joint/Group Fili Form filed by One Re	

(City)	(State)	(Zip)										
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned												
1. Title of Secu	rity (Instr. 3)		2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)		Transaction Disposed Of (D) (Instr. 3, 4 and Code (Instr. 5)			5. Amount of Securities Beneficially Owned Following Reported	Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
					Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(111301.4)
Class A Com	mon Stock		02/17/2023		A		1,956(1)	A	\$0	104,065	D	
Class A Com	mon Stock		02/17/2023		F <sup>(2)</sup>		888	D	\$88.24	103,177	D	

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 6. Date Exercisable and Expiration Date (Month/Day/Year) 1. Title of Derivative 3. Transaction Date 3A. Deemed Execution Date 5. Number 7. Title and Amount 8. Price of Derivative 9. Number of 11. Nature Conversion Transaction of Securities derivative Ownership of Indirect Security (Instr. 3) or Exercise Price of Code (Instr. 8) Derivative Securities Underlying Derivative S Securities Beneficially Form: Direct (D) Beneficial Ownership (Month/Day/Year) if any (Month/Day/Year) Security (Instr. 5) Derivative Acquired (Instr. 3 and 4) Owned or Indirect (Instr. 4) (A) or Disposed of (D) (Instr. 3, 4 Security Following (I) (Instr. 4) Reported Transaction(s) (Instr. 4) and 5) Amount Number Date Expiration Date Code ν (A) (D) Exercisable Title Shares Employee Stock Option to Purchase Class A 07/03/2018(4) 25,000 \$18.6 25,000 D 07/02/2027 Common Class A Common Stock<sup>(3)</sup>

## **Explanation of Responses:**

- 1. Represents issued shares of performance restricted stock units ("PSUs") granted to the Reporting Person on February 1, 2022. The Compensation Committee certified the performance conditions and the PSUs were earned and vested at 76% of the target for the 2022 calendar year. Subject to the continued employment of the Reporting Person and achievement of applicable annual revenue growth rate goals, additional PSUs will vest following the completion of the remaining calendar years in the three-year performance period.
- 2. Shares delivered to the issuer for the payment of withholding taxes due upon the vesting of PSUs previously granted.
- 3. Granted pursuant to the 2014 Equity Incentive Plan.
- 4. Vests as to 25% of the shares on the first anniversary of the grant date and then 6.25% of the shares at the end of each three-month period thereafter.

## Remarks:

/s/ Brandon E. Ziegler as attorney-in-fact for Jill E. Klindt

02/21/2023

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.