Class B

Common Stock⁽¹⁾

(1)

11/16/2021

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL OMB Number: 3235-0287 Estimated average burden hours per response: 0.5

irrevocable trust

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* TROM JEFF D.							2. Issuer Name and Ticker or Trading Symbol WORKIVA INC [WK]								5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner Officer (give title Other (specify						
(Last) 2900 UN	(F NIVERSITY		3. Date of Earliest Transaction (Month/Day/Year) 11/16/2021 X Officer (give title below) Executive VP & CTO										у								
(Street) AMES IA 50010							4. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Check Applicat Line) X Form filed by One Reporting Person Form filed by More than One Reporting														
(City)	(5	State)										Person			·						
		Ta	able I - No			_			_	l, Di	<u> </u>			1							
1. Title of Security (Instr. 3)					2. Transaction Date (Month/Day/Ye		Execution Date,				4. Securities Acquired Disposed Of (D) (Instr.		. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)		6. Owner Form: I (D) or II (I) (Inst	Direct ndirect r. 4)	7. Nate Indired Benef Owner (Instr.	ficial rship		
									Code	V	Amount	(A) or (D)	Price	(Instr. 3 and 4)							
Class A Common Stock					11/04/2021					v	15,000	D	\$0	50,0	00]	I	By revoc trust	cable		
Class A Common Stock					11/16/2021						113,645	5 A	\$0	163,645		I		By revoc trust	cable		
Class A Common Stock					11/16/2021						159,000) D	\$151	4,645		I re		By revoo trust	cable		
Class A Common Stock				11/16/2021		21					57,000	A	\$0	57,000		I irr		By irrev trust	ocable		
Class A Common Stock				11/16/2021					S		57,000	D	\$151	0		I ir		By irreve trust	ocable		
Class A Common Stock				11/16/2021					С		57,000	A	\$0	57,0	00]	I By		rrev.		
Class A Common Stock					11/16/2021						57,000	D	\$151	\$151 0		I		By irrev. trust			
Class A Common Stock					11/16/2021				С		57,000	A	\$0	\$0 57,00		1000		trust			
					16/202	21			S		57,000	D	\$151	0]	1 tri		rrev		
Class A Common Stock														283,5	577	I)				
			Table II								oosed of, convertil			Owned							
1. Title of Derivative Security (Instr. 3)	Derivative Conversion Date Execution Security or Exercise (Month/Day/Year) if any		3A. Deemed Execution I if any (Month/Day	Date, Transacti Code (Ins			on Derivative E		6. Date E Expiratio (Month/D	n Dat	of Securi ar) Underlyii		g Security	8. Price of Derivative Security (Instr. 5)	9. Numbe derivative Securities Beneficia Owned Following Reported Transacti	ive ies cially ng ed	10. Ownersh Form: Direct (D or Indire (I) (Instr.	nip o B) C ct (I	1. Nature of Indirect Beneficial Ownershi Instr. 4)		
					Code	v	(A)	(D)	Date Exercisa	ble	Expiration Date	Title	Amount or Number of Shares		(Instr. 4						
Class B Common Stock ⁽¹⁾	(1)	11/16/2021			С			113,645	(1)		(1)	Class A Common Stock	113,645	\$0	()	I	re	By evocable rust		
Class B Common Stock ⁽¹⁾	(1)	11/16/2021			С			57,000	(1)		(1)	Class A Common Stock	57,000	\$0	()	I	ir	By rrevocable rust		
Class B Common Stock ⁽¹⁾	(1)	11/16/2021			С			57,000	(1)		(1)	Class A Common Stock	57,000	\$0	C)	I	ir	By rrevocable rust		

(1)

57,000

С

(1)

57,000

\$<mark>0</mark>

0

Common Stock

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)															
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)		
Class B Common Stock ⁽¹⁾	(1)							(1)	(1)	Class A Common Stock	328,402		328,402	I	By revocable trust
Employee Stock Option to Purchase Class A Common Stock ⁽²⁾	\$12.4							02/01/2018 ⁽³⁾	01/31/2027	Class A Common Stock	25,215		25,215	D	
Employee Stock Option to Purchase Class A Common Stock ⁽²⁾	\$14.74							02/01/2017 ⁽³⁾	01/31/2026	Class A Common Stock	21,212		21,212	D	
Employee Stock Option to Purchase Class A Common Stock ⁽⁴⁾	\$15.83							08/12/2015 ⁽⁵⁾	08/11/2024	Class A Common Stock	159,592		159,592	D	

Explanation of Responses:

- 1. Each share of Class B Common Stock is convertible, at any time at the election of the holder, into one share of Class A Common Stock. In addition, each share of Class B Common Stock will convert automatically into one share of Class A Common Stock upon (i) the date specified by the holders of at least 66 2/3% of the outstanding shares of Class B Common Stock, (ii) any transfer, except for certain "qualified transfers" (as defined in the Issuer's Certificate of Incorporation) or (iii) upon the death of a natural person holding shares of Class B Common Stock (subject to certain exceptions as defined in the Issuer's Certificate of Incorporation).
- 2. Granted pursuant to the 2014 Equity Incentive Plan.
- 3. Vests in three equal annual installments commencing on the first anniversary of the grant date.
- 4. Granted pursuant to 2009 Unit Incentive Plan.
- 5. Vests as to 25% of the shares on the first anniversary of the grant date and as to 6.25% of the shares at the end of each three-month period thereafter.

Remarks:

/s/ Brandon E. Ziegler as attorney-in-fact for Jeffrey D. 11/16/2021 Trom

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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