Instruction 1(b)

## FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

| Washington, D.C. 20549 |  |
|------------------------|--|
|------------------------|--|

| STATEMENT | <b>OF CHANGES</b> | IN BENEFICIAL | <b>OWNERSHIP</b> |
|-----------|-------------------|---------------|------------------|
|           |                   |               |                  |

**OMB APPROVAL** 3235-0287 Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person <sup>*</sup>                                    |   |  | 2. 1:  | 2. Issuer Name <b>and</b> Ticker or Trading Symbol |  |       |                           |   |  |                               |          |   | 5.                   | 5. Relationship of Reporting Person(s) to Issuer |                       |                    |                                    |                                 |  |                                       |                         |
|---|---|--|--|--|--|-------|---------------------------|---|--|-------------------------------|----------|---|----------------------|--|-----------------------|--------------------|------------------------------------|---------------------------------|--|---------------------------------------|-------------------------|
| Miller J Stuart   |   |  |  | WORKIVA INC [ WK ]                                 |  |       |                           |   |  |                               |          |   |                      | (Check all applicable)                           |                       |                    |                                    |                                 |  |                                       |                         |
| Miller J Studit   |   |  |  |  |  |       |                           |   |  |                               |          |   |                      |  |                       | v                  | Officer (                          |                                 |  |                                       | ·                       |
| (Last)  | (F  | irst)                                      | (Middle)   |  |  |       |                           | est Tra   | ansact                                 | ion (Mon                      | th/D     | ay/Year)  |                      |  |                       | X                  | below)                             | •                               | _  | below)                                |                         |
| 2900 UNIVERSITY BOULEVARD   |   |  |  | 102/   | 02/05/2016                                     |       |                           |   |  |                               |          |   |                      |  |                       | Execut             | ive VP, T                          | reası                           | ırer & CF  | O                                     |                         |
|   |   |  |  |  | f Λ  | nde s | * Dat                     | o of C  | riginal F                              | lod (                         | (Month/D | 01/1/0-   | \r\<br>\r\           | +  | In ali-               | idual ar 1-        | sint/C====                         | Filing                          | (Chack A:-:  | plicable                              |                         |
| (Street)  4. If Amendment, Date of Original Filed (Month/Day/Year)                      |   |  |  |  |  |       |                           | 6. Individual or Joint/Group Filing (Check Applicable Line) |  |                               |          |   |                      |  |                       |                    |                                    |                                 |  |                                       |                         |
| AMES  | IA  | Λ  | 50010  |  |  |       |                           |   |  |                               |          |   |                      | X Form filed by One Reporting Person             |                       |                    |                                    |                                 | n  |                                       |                         |
| -   |   |  |  |  |  |       |                           |   |  |                               |          |   |                      |  |                       | Form file          | ed by More                         | e than                          | One Repor  | rting                                 |                         |
| (City)  | (S  | tate)                                      | (Zip)  |  |  |       |                           |   |  |                               |          |   |                      |  |                       |                    |                                    |                                 |  |                                       |                         |
| Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned        |   |  |  |  |  |       |                           |   |  |                               |          |   |                      |  |                       |                    |                                    |                                 |  |                                       |                         |
| 1. Title of   | Security (Ins   | tr. 3)                                     |  | 2. Transa  | action   |       |                           |   |  | 3. 4. Securities Acquired (A) |          |   |                      |  | (A) or                | A) or 5. Amount of |                                    |                                 |  |                                       | 7. Nature of            |
|   |   |  |  | Date<br>(Month/D                                   | Day/Year)                                      |       | Execution Date,<br>if any |   | ·                                      | Code (Instr.                  |          | Disposed Of (D) (Instr. 3, 4  |                      | 3, 4 aı  | Ben                   |                    | lly                                | Form: Direct<br>(D) or Indirect |  | Indirect<br>Beneficial                |                         |
|   |   |  |  |  |  |       | (Month                    | /Day/Y  | rear)                                  | 8)                            |          |   |                      |  |                       |                    | Owned Following<br>Reported        |                                 | (I) (In:   |                                       | Ownership<br>(Instr. 4) |
|   |   |  |  |  |  | _     |                           |   |  | Code                          | <b>/</b> | Amount  | ount (A) o           |  | Price                 | •                  | Transaction(s)<br>(Instr. 3 and 4) |                                 |  |                                       |                         |
| Class A C   | Common St   | ock  |  | 02/05  | /2016  |       |                           |   | F <sup>(1)</sup>                       |                               | 6,22     | 0 D \$  |                      | \$12   | 2.41                  | 1 125,544          |                                    |                                 | D  |                                       |                         |
|   |   |  | Table II -   | Derivat  | tive   | Sec   | uritie                    | s Ac  | quir                                   | ed, Di                        | spo      | sed of  | , or I               | Benef  | icial                 | ly O               | wned                               |                                 |  |                                       |                         |
|   |   |  |  | (e.g., p   |  |       |                           |   |  |                               |          |   |                      |  |                       |                    |                                    |                                 |  |                                       |                         |
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)                                     | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution D<br>if any<br>(Month/Day) | ate, Tr  | ansaction of Exp<br>ode (Instr. Derivative (Mo |       |                           | Expir   | Expiration Date of (Month/Day/Year) Un |                               |          | 7. Title and Amou<br>of Securities<br>Underlying<br>Derivative Securi<br>(Instr. 3 and 4) |                      | curity   | Derivativ<br>Security |                    |                                    |                                 | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | Beneficial<br>Ownership<br>(Instr. 4) |                         |
|   |   |  |  |  |  |       |                           |   | Date                                   |                               | Ex       | piration  |                      | OI   | mount<br>r<br>umber   |                    |                                    |                                 |  |                                       |                         |
|   |   |  |  | С  | ode  | V     | (A)                       | (D)   |  | cisable                       | Da       |   | Title                |  | Share                 |                    |                                    |                                 |  |                                       | <u> </u>                |
| Employee<br>Stock<br>Option to<br>Purchase<br>Class A<br>Common<br>Stock <sup>(2)</sup> | \$14.74   |  |  |  |  |       |                           |   | 02/01                                  | 1/2017 <sup>(3)</sup>         | 02       | /01/2026  | Class<br>Comi<br>Sto | mon 3  | 31,46                 | 9                  |                                    | 31,469                          | Э  | D                                     |                         |
| Employee<br>Stock<br>Option to<br>Purchase<br>Class A<br>Common<br>Stock <sup>(4)</sup> | \$15.83   |  |  |  |  |       |                           |   | 08/12                                  | 2/2015 <sup>(5)</sup>         | 08.      | /11/2024  | Class<br>Comi<br>Sto | mon 3  | 39,60                 | 0                  |                                    | 39,600                          | )  | D                                     |                         |
| Employee<br>Stock<br>Option to<br>Purchase<br>Class A<br>Common<br>Stock <sup>(4)</sup> | \$15.86   |  |  |  |  |       |                           |   | 04/07                                  | 7/2015 <sup>(5)</sup>         | 04       | /06/2024  | Class<br>Comi<br>Sto | mon   1  | 74,24                 | 40                 |                                    | 174,24                          | .0   | D                                     |                         |

## **Explanation of Responses:**

- 1. Shares delivered to the issuer for the payment of witholding taxes due upon the vesting of restricted stock previously granted under the 2014 Equity Incentive Plan.
- 2. Granted pursuant to the 2014 Equity Incentive Plan.
- 3. Vests in three equal annual installments commencing on the first anniversary of the grant date.
- 4. Granted pursuant to 2009 Unit Incentive Plan.
- 5. Vests as to 25% of the shares on the first anniversary of the grant date and as to 6.25% of the shares at the end of each three-month period thereafter.

## Remarks:

/s/ Troy M. Calkins as attorney-02/09/2016 in-fact for J. Stuart Miller

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.