### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

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| l | OMB Number:          | 3235-0287 |
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Form filed by More than One Reporting

Person

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b)

1. Name and Address of Reporting Person

2900 UNIVERSITY BOULEVARD

IA

(State)

(First)

(Middle)

50010

(Zip)

TROM JEFF D

(Last)

(Street) **AMES** 

(City)

# Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

or Section 30(h) of the Investment Company Act of 1940 2. Issuer Name and Ticker or Trading Symbol 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) WORKIVA INC [ WK ] Director 10% Owner Officer (give title Other (specify 3. Date of Earliest Transaction (Month/Day/Year) below) below) 02/05/2018 Executive VP & CTO 6. Individual or Joint/Group Filing (Check Applicable 4. If Amendment, Date of Original Filed (Month/Day/Year) Form filed by One Reporting Person

| Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |  |   |                  |   |        |               |   |                                    |  |            |
|--|--|---|------------------|---|--------|---------------|---|------------------------------------|--|------------|
| 1. Title of Security (Instr. 3)  | 2. Transaction<br>Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) |                  |   |        |               | 5. Amount of<br>Securities<br>Beneficially<br>Owned Following<br>Reported | Form: Direct<br>(D) or Indirect    | 7. Nature of Indirect Beneficial Ownership |            |
|  |  |   | Code             | v | Amount | (A) or<br>(D) | Price   | Transaction(s)<br>(Instr. 3 and 4) |  | (Instr. 4) |
| Class A Common Stock   | 02/05/2018                                 |   | F <sup>(1)</sup> |   | 6,047  | D             | \$22.05   | 120,847                            | D  |            |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

#### (e.g., puts, calls, warrants, options, convertible securities) 1. Title of Derivative 3A. Deemed Execution Date, 6. Date Exercisable and Expiration Date 7. Title and Amount of Securities Underlying 8. Price of Derivative 9. Number of derivative 3. Transaction 5. Number 11. Nature Ownership of Indirect (Month/Day/Year Derivative Security (Instr. 5) Security or Exercise Price of Code (Instr. (Month/Day/Year) **Derivative Security** Securities Form: Beneficial 8) (Instr. 3) (Month/Day/Year) Securities (Instr. 3 and 4) Beneficially Direct (D) Acquired (A) or Disposed Derivative Owned Following or Indirect (I) (Instr. 4) (Instr. 4) Security Reported of (D) (Instr. 3. 4 Transaction(s) (Instr. 4) Amount or Expiration Date Number of Shares Date Exercisable Code (A) (D) Title Employee Stock Option to Class A Purchase \$12.4 02/01/2018(3) 01/31/2027 25,215 25,215 D Commor Class A Stock Commo Stock(2) Employee Stock Option to Class A Purchase 21,212 \$14.74 02/01/2017(3) 01/31/2026 21,212 D Commor Class A Stock Common Stock<sup>(2)</sup> Employee Stock Option to Class A Purchase \$15.83 08/12/2015<sup>(5)</sup> 08/11/2024 178,200 178,200 D Commor Class A Stock Commo Stock<sup>(4)</sup> Class B Class A 1,881,533 Commo (6) (6) (6) 1.881.533 D Stock<sup>(6)</sup> Stock Class B Class A charitable 523,050 Common 523 050 Commor remainder Stock<sup>(6)</sup> Stock trust

### **Explanation of Responses:**

- 1. Shares delivered to the issuer for the payment of witholding taxes due upon the vesting of restricted stock previously granted.
- 2. Granted pursuant to the 2014 Equity Incentive Plan.
- 3. Vests in three equal annual installments commencing on the first anniversary of the grant date.
- 4. Granted pursuant to 2009 Unit Incentive Plan.
- 5. Vests as to 25% of the shares on the first anniversary of the grant date and as to 6.25% of the shares at the end of each three-month period thereafter.
- 6. Each share of Class B Common Stock is convertible, at any time at the election of the holder, into one share of Class A Common Stock. In addition, each share of Class B Common Stock will convert automatically into one share of Class A Common Stock upon (i) the date specified by the holders of at least 66 2/3% of the outstanding shares of Class B Common Stock, (ii) any transfer, except for certain "qualified transfers" (as defined in the Issuer's Certificate of Incorporation) or (iii) upon the death of a natural person holding shares of Class B Common Stock (subject to certain exceptions as defined in the Issuer's Certificate of Incorporation).

## Remarks:

/s/ Troy M. Calkins as attorneyin-fact for Jeffrey D. Trom

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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