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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL
OMB Number: 3235-0287

k this box if no longer subject to	SIA
on 16. Form 4 or Form 5	
ations may continue. See	
uction 1(b).	

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Estimated average burden	1
hours per response:	0.5
<u>.</u>	

1. Name and Add TROM JEE	dress of Reporting FFD	Person*		uer Name and Ticker <u> RKIVA INC</u> [5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director X 10% Owner						
(Last) 2900 UNIVE	(First) RSITY BOULE	(Middle) VARD		te of Earliest Transac 8/2020	X	Officer (give title Other (specify below) below) Executive VP & CTO			specify			
(Street)			4. If A	mendment, Date of C	6. Indiv Line)	6. Individual or Joint/Group Filing (Check Applicable Line)						
AMES	IA	50010						X	Form filed by One	e Reporting) Perso	in
(City)	(State)	(Zip)							Form filed by Mo Person	re than One	e Repo	rting
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned												
1. Title of Security (Instr. 3)		2. Transaction Date (Month/Day/Year	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities A Disposed Of (I			5. Amount of Securities Beneficially Owned Following Reported	6. Owners Form: Dir (D) or Indi (I) (Instr. 4	ect irect	7. Nature of Indirect Beneficial Ownership (Instr. 4)	

		(monal/bay/real)	, ,					Reported	(1) (1150.14)	(Instr. 4)
			Code	v	Amount	(A) or (D)		Transaction(s) (Instr. 3 and 4)		(1150.4)
Class A Common Stock	01/18/2020		F ⁽¹⁾		747	D	\$45.15	234,028	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of		6. Date Exerci Expiration Dat (Month/Day/Ye	te			8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(S) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Class B Common Stock	(2)							(2)	(2)	Class A Common Stock	1,249,645		1,249,645	I	By revocable trust
Class B Common Stock ⁽²⁾	(2)							(2)	(2)	Class A Common Stock	328,402		328,402	I	By charitable remainder trust
Employee Stock Option to Purchase Class A Common Stock ⁽³⁾	\$12.4							02/01/2018 ⁽⁴⁾	01/31/2027	Class A Common Stock	25,215		25,215	D	
Employee Stock Option to Purchase Class A Common Stock ⁽³⁾	\$14.74							02/01/2017 ⁽⁴⁾	01/31/2026	Class A Common Stock	21,212		21,212	D	
Employee Stock Option to Purchase Class A Common Stock ⁽⁵⁾	\$15.83							08/12/2015 ⁽⁶⁾	08/11/2024	Class A Common Stock	178,200		178,200	D	

Explanation of Responses:

1. Shares delivered to the issuer for payment of withholding taxes due upon the vesting of restricted stock units previously granted.

2. Each share of Class B Common Stock is convertible, at any time at the election of the holder, into one share of Class A Common Stock. In addition, each share of Class B Common Stock will convert automatically into one share of Class A Common Stock upon (i) the date specified by the holders of at least 66 2/3% of the outstanding shares of Class B Common Stock, (ii) any transfer, except for certain "qualified transfers" (as defined in the Issuer's Certificate of Incorporation) or (iii) upon the death of a natural person holding shares of Class B Common Stock (subject to certain exceptions as defined in the Issuer's Certificate of Incorporation).

3. Granted pursuant to the 2014 Equity Incentive Plan.

4. Vests in three equal annual installments commencing on the first anniversary of the grant date.

5. Granted pursuant to 2009 Unit Incentive Plan.

6. Vests as to 25% of the shares on the first anniversary of the grant date and as to 6.25% of the shares at the end of each three-month period thereafter.

Remarks:

<u>/s/ Troy M. Calkins as attorney-</u> <u>in-fact for Jeffrey D. Trom</u> 01/22/2020

** Signature of Reporting Person Date

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.