## SEC Form 4

Π

## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

OMB Number:	3235-0287						
Estimated average burden							
hours per response:	0.5						

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

-					_										1							
1. Name and Address of Reporting Person <sup>*</sup> VANDERPLOEG MARTIN J.						2. Issuer Name and Ticker or Trading Symbol <u>WORKIVA INC</u> [ WK ]									(Che	5. Relationship of Repo (Check all applicable) X Director			porting Person(s) to Issuer ) 10% Owner			
(Last)	(F		3. Date of Earliest Transaction (Month/Day/Year) 05/30/2024										Officer below)	(give title		Other ( below)	specify					
2900 UNIVERSITY BOULEVARD							4. If Amendment, Date of Original Filed (Month/Day/Year)									6. Individual or Joint/Group Filing (Check Applicable Line)						
			-												led by One	One Reporting Person		on				
(Street) AMES	IA	IA 50010															Form filed by More than One Reporting Person					
(City)	(S	R	Rule 10b5-1(c) Transaction Indication Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10.																			
		Tal	ble I - Nor	n-Deri	ivativ								. ,			y Owned						
1. Title of Security (Instr. 3)				2. Tran Date	Fransaction te		2A. Deemed Execution Date if any (Month/Day/Ye		ate, 3. Code (Instr.		tion	4. Securities Acquired ( Disposed Of (D) (Instr. 3		(A) or	5. Amour Securitie Beneficia Owned F	5. Amount of Securities Beneficially Owned Following		vnership n: Direct r Indirect istr. 4)	7. Nature of Indirect Beneficial Ownership			
										Code	v	Amount	t (A (D	) or )	Price	Reported Transact (Instr. 3 a	ion(s)			(Instr. 4)		
Class A Common Stock 05/30										<b>A</b> <sup>(1)</sup>		2,81	7	A (1)		326,862			D			
Class A Common Stock																333,869				By living trust		
			Table II -										f, or Be ible se			Owned						
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemec Execution D if any (Month/Day	Date,	Code (		of Deriv Secu Acqu (A) o Disp of (D (Inst	of E		6. Date Exercisab Expiration Date (Month/Day/Year)		e and	7. Title and Amo of Securities Underlying Derivative Secur (Instr. 3 and 4)		curity	8. Price of Derivative Security (Instr. 5)	vative derivativ urity Securitie		10. Ownershi Form: Direct (D) or Indirec (I) (Instr. 4	Beneficial O) Ownership ect (Instr. 4)		
					Code	ode V		(D)	Date Exer	cisable	Ex Da	piration te	Title	or Nu	nount ımber Shares							
Class B Common Stock <sup>(2)</sup>	(2)									(2)		(2)	Class A Commo Stock	n <b>7</b> :	10,562		710,50	2 I		By living trust		
Class B Common Stock <sup>(2)</sup>	(2)									(2)		(2)	Class A Commo Stock		91,270		491,2	'0 I		By charitable remainder trust		
Employee Stock Option to Purchase Class A Common Stock <sup>(3)</sup>	\$14.74								02/0	1/2017 <sup>(4)</sup>	01	/31/2026	Class A Commo Stock	n 8	4,210		84,21	0 D				
Employee Stock											Γ											

## Explanation of Responses:

\$12.4

1. Grant of restricted stock units pursuant to the 2014 Equity Incentive Plan.

2. Each share of Class B Common Stock is convertible, at any time at the election of the holder, into one share of Class A Common Stock. In addition, each share of Class B Common Stock will convert automatically into one share of Class A Common Stock upon (i) the date specified by the holders of at least 66 2/3% of the outstanding shares of Class B Common Stock, (ii) any transfer, except for certain "qualified transfers" (as defined in the Issuer's Certificate of Incorporation) or (iii) upon the death of a natural person holding shares of Class B Common Stock (subject to certain exceptions as defined in the Issuer's Certificate of Incorporation).

02/01/2018<sup>(4)</sup> 01/31/2027

Common

Stock

3. Grant of stock option pursuant to the 2014 Equity Incentive Plan.

4. Vests in three equal annual installments commencing on the first anniversary of the grant date.

**Remarks:** 

Purchase Class A

Common Stock<sup>(3)</sup>

> /s/ Brandon E. Ziegler as attorney-in-fact for Martin J. Vanderploeg

200,204

06/03/2024

Date

200,204

D

\*\* Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a). Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.