SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person [*] <u>Klindt Jill</u>				uer Name and Ticke <u>RKIVA INC</u>	0	ymbol		tionship of Reporting Person(s) to Issuer all applicable) Director 10% Owner			
(Last) 2900 UNIV	(First) ERSITY BOULE	(Middle) VARD		e of Earliest Transa 1/2022	ction (Month/I	Day/Year)	X	Officer (give title below) SVP, CFO, CA	below	,	
(Street) AMES	IA	50010	4. If A	mendment, Date of	Original Filed	(Month/Day/Year)	6. Indiv Line) X	idual or Joint/Group Form filed by One	e Reporting Pers	son	
(City)	(State)	(Zip)						Form filed by Mor Person	e than One Rep	orung	
		Table I - Non-De	rivative S	Securities Acq	uired, Dis	posed of, or Benefi	cially (Dwned			
1. Title of Security (Instr. 3) 2. Transac Date (Month/Da			nsaction h/Day/Year)	2A. Deemed Execution Date, if any	3. Transaction Code (Instr.	4. Securities Acquired (A) Disposed Of (D) (Instr. 3, 4	and 5)	5. Amount of Securities Beneficially	6. Ownership Form: Direct (D) or Indirect	7. Nature of Indirect Beneficial	

	(8)					Owned Following Reported	(I) (Instr. 4)	Ownership (Instr. 4)	
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(11501.4)
Class A Common Stock	03/01/2022		F ⁽¹⁾		3,778	D	\$108.34	77,527	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of Deriv Secu Acqu (A) o Disp of (D	rivative (Month/Day/Year) curities quired or sposed (D) str. 3, 4		of Securities		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Employee Stock Option to Purchase Class A Common Stock ⁽²⁾	\$18.6							07/03/2018 ⁽³⁾	07/02/2027	Class A Common Stock	25,000		25,000	D	

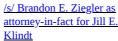
Explanation of Responses:

1. Shares delivered to the issuer for the payment of withholding taxes due upon the vesting of restricted stock units previously granted.

2. Granted pursuant to the 2014 Equity Incentive Plan.

3. Vests as to 25% of the shares on the first anniversary of the grant date and then 6.25% of the shares at the end of each three-month period thereafter.

Remarks:



03/02/2022

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.