

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| OMB APPROVAL | |
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|--|-----------|--------------|--|--|--|--|--|--|
| 1. Name and Address of Reporting Person* <u>Miller J Stuart</u> | | | 2. Issuer Name and Ticker or Trading Symbol <u>WORKIVA INC [WK]</u> | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner <input checked="" type="checkbox"/> Officer (give title below) Other (specify below) <u>Executive VP & CFO</u> | | |
| (Last) | (First) | (Middle) | 3. Date of Earliest Transaction (Month/Day/Year) <u>01/18/2019</u> | | | 6. Individual or Joint/Group Filing (Check Applicable Line) <input checked="" type="checkbox"/> Form filed by One Reporting Person Form filed by More than One Reporting Person | | |
| 2900 UNIVERSITY BOULEVARD | | | 4. If Amendment, Date of Original Filed (Month/Day/Year) | | | | | |
| (Street) | | | | | | | | |
| <u>AMES</u> | <u>IA</u> | <u>50010</u> | | | | | | |
| (City) | (State) | (Zip) | | | | | | |

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | | 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5) | | | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|------------|---------|---|--|--|
| | | | Code | V | Amount | (A) or (D) | Price | | | |
| Class A Common Stock | 01/18/2019 | | F ⁽¹⁾ | | 1,025 | D | \$38.22 | 289,835 | D | |
| Class A Common Stock | 01/19/2019 | | F ⁽¹⁾ | | 602 | D | \$38.22 | 289,233 | D | |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | | 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4) | | 8. Price of Derivative Security (Instr. 5) | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4) | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---|--|--------------------------------------|--|--------------------------------|---|--|--|------------|---|-----------------|--|--|---|--|
| | | | | Code | V | | (A) | (D) | Date Exercisable | Expiration Date | | | | |
| Employee Stock Option to Purchase Class A Common Stock ⁽²⁾ | \$15.86 | | | | | | 04/07/2015 ⁽³⁾ | 04/06/2024 | Class A Common Stock | 164,240 | | 164,240 | D | |
| Employee Stock Option to Purchase Class A Common Stock ⁽²⁾ | \$15.83 | | | | | | 08/12/2015 ⁽³⁾ | 08/11/2024 | Class A Common Stock | 39,600 | | 39,600 | D | |
| Employee Stock Option to Purchase Class A Common Stock ⁽⁴⁾ | \$14.74 | | | | | | 02/01/2017 ⁽⁵⁾ | 01/31/2026 | Class A Common Stock | 31,469 | | 31,469 | D | |
| Employee Stock Option to Purchase Class A Common Stock ⁽⁴⁾ | \$12.4 | | | | | | 02/01/2018 ⁽⁵⁾ | 01/31/2027 | Class A Common Stock | 37,407 | | 37,407 | D | |

Explanation of Responses:

- Shares delivered to the issuer for the payment of withholding taxes due upon the vesting of restricted stock units previously granted.
- Granted pursuant to 2009 Unit Incentive Plan.
- Vests as to 25% of the shares on the first anniversary of the grant date and as to 6.25% of the shares at the end of each three-month period thereafter.
- Grant of stock option pursuant to the 2014 Equity Incentive Plan.
- Vests in three equal annual installments commencing on the first anniversary of the grant date.

Remarks:

/s/ Troy M. Calkins as attorney-in-fact for J. Stuart Miller 01/23/2019

** Signature of Reporting Person Date

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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