## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

wasnington,	D.C.	20549	

OMB APPROVAL

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# Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

								` '				· ·								
1. Name and Address of Reporting Person* <u>Howell Joseph H.</u>					2. Issuer Name <b>and</b> Ticker or Trading Symbol WORKIVA INC [ WK ]									Relationship of Reporting Person(s) to Issuer (Check all applicable)  Director  10% Owner						
(Last) (First) (Middle) 2900 UNIVERSITY BOULEVARD				3. Date of Earliest Transaction (Month/Day/Year) 01/05/2017										X Officer (give title Other (specify below)  Executive VP						
(Street) AMES	IA	A	50010		4.	4. If Amendment, Date of Original Filed (Month/Day/Year)									6. Indi Line) X	Form filed by One Reporting Person Form filed by More than One Reporting			.	
(City)	(S	state)	(Zip)													Person				
Table I - No  1. Title of Security (Instr. 3)		n-Derivative  2. Transaction Date (Month/Day/Year)		n 'ear)	2A. Deemed Execution Date,		3 e, T	Transaction Disposed Code (Instr.		of, or Benefic ties Acquired (A) or I Of (D) (Instr. 3, 4 ar			5. Amoun Securities Beneficia Owned Fo	s Illy ollowing	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership			
							ď	Code	v	Amount	(A) or (D)	Price		Transaction(s) (Instr. 3 and 4)				(Instr. 4)		
Class A Common Stock		01/0	05/201	17				С		24,000	00 A		6 <mark>0</mark>	24,000		I		By living trust		
Class A (	Common St	ock		01/0	05/201	17				S <sup>(1)</sup>		22,108	D \$1		.01(2)	1,892				By living trust
Class A Common Stock														44,400				By daughter		
Class A Common Stock															15,581		D			
			Table II -													wned				
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security  3. Transaction Date (Month/Day/Year)  3. Transaction Execution Date (Execution Date, if any (Month/Day/Year)  (Month/Day/Year)  3. Transaction Execution Date, if any (Month/Day/Year)  (Month/Day/Year)		5. Number 6.			6. Date Exercisable ar Expiration Date (Month/Day/Year)		ble and 7. Title and Amo		d Amou es g Securit	8. Price of Derivative Security				10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)				
					Code	v	(A)	(D)	Date Exe	e ercisabl		Expiration Date	Title	Amour or Number of Sha	er					
Class B Common Stock <sup>(3)</sup>	(3)	01/05/2017			С			24,000		(3)		(3)	Class A Common Stock	24,0	00	\$0	665,77	72	I	By living trust
Employee Stock Option to Purchase Class A Common Stock <sup>(4)</sup>	\$14.74								02/0	01/2017	<b>7</b> (5)	02/01/2026	Class A Common Stock	4,54	15		4,545	5	D	
Employee Stock Option to Purchase Class A Common	\$15.83								08/1	12/2015	5(7)	08/11/2024	Class A Common Stock	178,2	200		178,20	00	D	

#### **Explanation of Responses:**

- 1. The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on August 31, 2015.
- 2. The price reported in Column 4 is a weighted-average price. The prices actually received range from \$14.00 to \$14.05. For all transactions reported in this Form 4 utilizing a weighted-average price, the reporting person undertakes to provide upon request by the SEC staff, the issuer, or a security holder of the issuer, full information regarding the number of shares sold at each separate price within the range.
- 3. Each share of Class B Common Stock is convertible, at any time at the election of the holder, into one share of Class A Common Stock. In addition, each share of Class B Common Stock will convert automatically into one share of Class A Common Stock upon (i) the date specified by the holders of at least 66 2/3% of the outstanding shares of Class B Common Stock, (ii) any transfer, except for certain "qualified transfers" (as defined in the Issuer's Certificate of Incorporation) or (iii) upon the death of a natural person holding shares of Class B Common Stock (subject to certain exceptions as defined in the Issuer's Certificate of Incorporation).
- 4. Granted pursuant to the 2014 Equity Incentive Plan.
- 5. Vests in three equal annual installments commencing on the first anniversary of the grant date.
- 6. Granted pursuant to 2009 Unit Incentive Plan.
- $7. \ \ Vests \ as \ to \ 25\% \ of \ the \ shares \ on \ the \ first \ anniversary \ of \ the \ grant \ date \ and \ as \ to \ 6.25\% \ of \ the \ shares \ at \ the \ end \ of \ each \ three-month \ period \ three-fiter.$

## Remarks:

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.