

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL	
OMB Number:	3235-0287
Estimated average burden hours per response:	0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

1. Name and Address of Reporting Person* <u>TROM JEFF D.</u>			2. Issuer Name and Ticker or Trading Symbol <u>WORKIVA INC [ WK ]</u>			5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director <input type="checkbox"/> 10% Owner <input checked="" type="checkbox"/> Officer (give title below) <input checked="" type="checkbox"/> Executive VP & CTO Other (specify below) <input type="checkbox"/>		
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) 08/09/2021					
2900 UNIVERSITY BOULEVARD			4. If Amendment, Date of Original Filed (Month/Day/Year)			6. Individual or Joint/Group Filing (Check Applicable Line) <input checked="" type="checkbox"/> Form filed by One Reporting Person <input type="checkbox"/> Form filed by More than One Reporting Person		
(Street)								
AMES	IA	50010						
(City)	(State)	(Zip)						

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
Class A Common Stock	08/09/2021		C		525,000	A	\$0	600,000	I	By revocable trust
Class A Common Stock	08/09/2021		S		600,000	D	\$127.5	0	I	By revocable trust
Class A Common Stock								270,976	D	

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	V	(A)	(D)	Date Exercisable	Expiration Date					
Class B Common Stock <sup>(1)</sup>	(1)	08/09/2021		C		525,000		(1)	(1)	Class A Common Stock	\$0	253,645	I	By revocable trust
Class B Common Stock <sup>(1)</sup>	(1)							(1)	(1)	Class A Common Stock		328,402	I	By charitable remainder trust
Class B Common Stock <sup>(1)</sup>	(1)							(1)	(1)	Class A Common Stock		57,000	I	By irrevocable trust
Class B Common Stock <sup>(1)</sup>	(1)							(1)	(1)	Class A Common Stock		57,000	I	By irrevocable trust
Class B Common Stock <sup>(1)</sup>	(1)							(1)	(1)	Class A Common Stock		57,000	I	By irrevocable trust
Employee Stock Option to Purchase Class A Common Stock <sup>(2)</sup>	\$12.4							02/01/2018 <sup>(3)</sup>	01/31/2027	Class A Common Stock		25,215	D	
Employee Stock Option to Purchase Class A Common Stock <sup>(2)</sup>	\$14.74							02/01/2017 <sup>(3)</sup>	01/31/2026	Class A Common Stock		21,212	D	
Employee Stock Option to Purchase Class A Common Stock <sup>(4)</sup>	\$15.83							08/12/2015 <sup>(5)</sup>	08/11/2024	Class A Common Stock		159,592	D	

**Explanation of Responses:**

1. Each share of Class B Common Stock is convertible, at any time at the election of the holder, into one share of Class A Common Stock. In addition, each share of Class B Common Stock will convert automatically into one share of Class A Common Stock upon (i) the date specified by the holders of at least 66 2/3% of the outstanding shares of Class B Common Stock, (ii) any transfer, except for certain "qualified

transfers" (as defined in the Issuer's Certificate of Incorporation) or (iii) upon the death of a natural person holding shares of Class B Common Stock (subject to certain exceptions as defined in the Issuer's Certificate of Incorporation).

2. Granted pursuant to the 2014 Equity Incentive Plan.

3. Vests in three equal annual installments commencing on the first anniversary of the grant date.

4. Granted pursuant to 2009 Unit Incentive Plan.

5. Vests as to 25% of the shares on the first anniversary of the grant date and as to 6.25% of the shares at the end of each three-month period thereafter.

**Remarks:**

/s/ Brandon E. Ziegler as  
attorney-in-fact for Jeffrey D.      08/11/2021  
Trom

\*\* Signature of Reporting Person      Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.**