

| OMB APPROVAL                                 |           |
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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

|                                                                                                                                                                                                   |                                                                          |                                                                                                                                                                                                                                                                                              |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| 1. Name and Address of Reporting Person*<br><u>VANDERPLOEG MARTIN J.</u><br><br>(Last) (First) (Middle)<br>2900 UNIVERSITY BOULEVARD<br><br>(Street)<br>AMES IA 50010<br><br>(City) (State) (Zip) | 2. Issuer Name and Ticker or Trading Symbol<br><u>WORKIVA INC [ WK ]</u> | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable)<br><br><input checked="" type="checkbox"/> Director <input checked="" type="checkbox"/> 10% Owner<br><br><input checked="" type="checkbox"/> Officer (give title below) Other (specify below)<br><br>President & CEO |
|                                                                                                                                                                                                   | 3. Date of Earliest Transaction (Month/Day/Year)<br>06/18/2021           |                                                                                                                                                                                                                                                                                              |
| 4. If Amendment, Date of Original Filed (Month/Day/Year)                                                                                                                                          |                                                                          | 6. Individual or Joint/Group Filing (Check Applicable Line)<br><br><input checked="" type="checkbox"/> Form filed by One Reporting Person<br><br>Form filed by More than One Reporting Person                                                                                                |

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) |   | 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5) |            |                         | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|----------------------------------------------------|--------------------------------|---|-------------------------------------------------------------------|------------|-------------------------|-----------------------------------------------------------------------------------------------|----------------------------------------------------------|-------------------------------------------------------|
|                                 |                                      |                                                    | Code                           | V | Amount                                                            | (A) or (D) | Price                   |                                                                                               |                                                          |                                                       |
| Class A Common Stock            | 02/09/2021                           |                                                    | G <sup>(1)</sup>               | V | 22,568                                                            | D          | \$0                     | 357,701                                                                                       | D                                                        |                                                       |
| Class A Common Stock            | 02/09/2021                           |                                                    | G <sup>(1)</sup>               | V | 22,568                                                            | A          | \$0                     | 72,568                                                                                        | I <sup>(2)</sup>                                         | By living trust                                       |
| Class A Common Stock            | 06/18/2021                           |                                                    | S <sup>(3)</sup>               |   | 10,188                                                            | D          | \$106.76 <sup>(4)</sup> | 62,380                                                                                        | I                                                        | By living trust                                       |
| Class A Common Stock            | 06/18/2021                           |                                                    | S <sup>(3)</sup>               |   | 7,312                                                             | D          | \$107.63 <sup>(5)</sup> | 55,068                                                                                        | I                                                        | By living trust                                       |
| Class A Common Stock            | 06/21/2021                           |                                                    | S <sup>(3)</sup>               |   | 900                                                               | D          | \$106.9 <sup>(6)</sup>  | 54,168                                                                                        | I                                                        | By living trust                                       |
| Class A Common Stock            | 06/21/2021                           |                                                    | S <sup>(3)</sup>               |   | 2,014                                                             | D          | \$108.61 <sup>(7)</sup> | 52,154                                                                                        | I                                                        | By living trust                                       |
| Class A Common Stock            | 06/21/2021                           |                                                    | S <sup>(3)</sup>               |   | 4,631                                                             | D          | \$109.7 <sup>(8)</sup>  | 47,523                                                                                        | I                                                        | By living trust                                       |
| Class A Common Stock            | 06/21/2021                           |                                                    | S <sup>(3)</sup>               |   | 9,955                                                             | D          | \$110.64 <sup>(9)</sup> | 37,568                                                                                        | I                                                        | By living trust                                       |

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3)                             | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) |   | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) |     | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                 | 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4) | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) |
|------------------------------------------------------------------------|--------------------------------------------------------|--------------------------------------|----------------------------------------------------|--------------------------------|---|----------------------------------------------------------------------------------------|-----|----------------------------------------------------------|-----------------|-----------------------------------------------------------------------------------|--------------------------------------------|----------------------------------------------------------------------------------------------------|-----------------------------------------------------------|--------------------------------------------------------|
|                                                                        |                                                        |                                      |                                                    | Code                           | V | (A)                                                                                    | (D) | Date Exercisable                                         | Expiration Date |                                                                                   |                                            |                                                                                                    |                                                           |                                                        |
| Class B Common Stock <sup>(10)</sup>                                   | (10)                                                   |                                      |                                                    |                                |   |                                                                                        |     | (10)                                                     | (10)            | Class A Common Stock                                                              | 2,104,023                                  | 2,104,023                                                                                          | I                                                         | By living trust                                        |
| Class B Common Stock <sup>(10)</sup>                                   | (10)                                                   |                                      |                                                    |                                |   |                                                                                        |     | (10)                                                     | (10)            | Class A Common Stock                                                              | 889,020                                    | 889,020                                                                                            | I                                                         | By charitable remainder trust                          |
| Employee Stock Option to Purchase Class A Common Stock <sup>(11)</sup> | \$12.4                                                 |                                      |                                                    |                                |   |                                                                                        |     | 02/01/2018 <sup>(12)</sup>                               | 01/31/2027      | Class A Common Stock                                                              | 200,204                                    | 200,204                                                                                            | D                                                         |                                                        |
| Employee Stock Option to Purchase Class A Common Stock <sup>(11)</sup> | \$14.74                                                |                                      |                                                    |                                |   |                                                                                        |     | 02/01/2017 <sup>(12)</sup>                               | 01/31/2026      | Class A Common Stock                                                              | 168,421                                    | 168,421                                                                                            | D                                                         |                                                        |

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned  
(e.g., puts, calls, warrants, options, convertible securities)**

| 1. Title of Derivative Security (Instr. 3)                             | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) |   | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) |     | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                 | 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4) |                            | 8. Price of Derivative Security (Instr. 5) | 9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4) | 10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) |
|------------------------------------------------------------------------|--------------------------------------------------------|--------------------------------------|----------------------------------------------------|--------------------------------|---|----------------------------------------------------------------------------------------|-----|----------------------------------------------------------|-----------------|-----------------------------------------------------------------------------------|----------------------------|--------------------------------------------|----------------------------------------------------------------------------------------------------|-----------------------------------------------------------|--------------------------------------------------------|
|                                                                        |                                                        |                                      |                                                    | Code                           | V | (A)                                                                                    | (D) | Date Exercisable                                         | Expiration Date | Title                                                                             | Amount or Number of Shares |                                            |                                                                                                    |                                                           |                                                        |
| Employee Stock Option to Purchase Class A Common Stock <sup>(13)</sup> | \$15.83                                                |                                      |                                                    |                                |   |                                                                                        |     | 08/12/2015 <sup>(14)</sup>                               | 08/11/2024      | Class A Common Stock                                                              | 178,200                    |                                            | 178,200                                                                                            | D                                                         |                                                        |

**Explanation of Responses:**

- Represents a gift of securities by the reporting person to a revocable living trust.
- Due to an administrative error, 50,000 shares were reported on February 3, 2021 as directly held instead of indirectly held through a revocable living trust.
- The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on March 16, 2021 in accordance with Rule 10b5-1 of Securities Exchange Act of 1934, as amended. This Rule 10b5-1 plan provides for fixed sales of a total of up to 315,000 shares of Class A Common Stock through January 2022.
- The price reported in Column 4 is a weighted-average price. The price actually received ranges from \$106.26 to \$107.24. For all transactions reported in this Form 4 utilizing a weighted-average price, the reporting person undertakes to provide upon request by the SEC staff, the issuer, or a security holder of the issuer, full information regarding the number of shares sold at each separate price within the range.
- The price reported in Column 4 is a weighted-average price. The price actually received ranges from \$107.29 to \$108.14. For all transactions reported in this Form 4 utilizing a weighted-average price, the reporting person undertakes to provide upon request by the SEC staff, the issuer, or a security holder of the issuer, full information regarding the number of shares sold at each separate price within the range.
- The price reported in Column 4 is a weighted-average price. The price actually received ranges from \$106.72 to \$107.24. For all transactions reported in this Form 4 utilizing a weighted-average price, the reporting person undertakes to provide upon request by the SEC staff, the issuer, or a security holder of the issuer, full information regarding the number of shares sold at each separate price within the range.
- The price reported in Column 4 is a weighted-average price. The price actually received ranges from \$108.12 to \$109.00. For all transactions reported in this Form 4 utilizing a weighted-average price, the reporting person undertakes to provide upon request by the SEC staff, the issuer, or a security holder of the issuer, full information regarding the number of shares sold at each separate price within the range.
- The price reported in Column 4 is a weighted-average price. The price actually received ranges from \$109.23 to \$110.22. For all transactions reported in this Form 4 utilizing a weighted-average price, the reporting person undertakes to provide upon request by the SEC staff, the issuer, or a security holder of the issuer, full information regarding the number of shares sold at each separate price within the range.
- The price reported in Column 4 is a weighted-average price. The price actually received ranges from \$110.23 to \$111.17. For all transactions reported in this Form 4 utilizing a weighted-average price, the reporting person undertakes to provide upon request by the SEC staff, the issuer, or a security holder of the issuer, full information regarding the number of shares sold at each separate price within the range.
- Each share of Class B Common Stock is convertible, at any time at the election of the holder, into one share of Class A Common Stock. In addition, each share of Class B Common Stock will convert automatically into one share of Class A Common Stock upon (i) the date specified by the holders of at least 66 2/3% of the outstanding shares of Class B Common Stock, (ii) any transfer, except for certain "qualified transfers" (as defined in the Issuer's Certificate of Incorporation) or (iii) upon the death of a natural person holding shares of Class B Common Stock (subject to certain exceptions as defined in the Issuer's Certificate of Incorporation).
- Grant of stock option pursuant to the 2014 Equity Incentive Plan.
- Vests in three equal annual installments commencing on the first anniversary of the grant date.
- Granted pursuant to 2009 Unit Incentive Plan.
- Vests as to 25% of the shares on the first anniversary of the grant date and as to 6.25% of the shares at the end of each three-month period thereafter.

**Remarks:**

/s/ Brandon E. Ziegler as  
attorney-in-fact for Martin J. Vanderploeg      06/22/2021

\*\* Signature of Reporting Person      Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.**