

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL	
OMB Number:	3235-0287
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1. Name and Address of Reporting Person* <u>Klindt Jill</u> (Last) (First) (Middle) 2900 UNIVERSITY BOULEVARD (Street) AMES IA 50010 (City) (State) (Zip)	2. Issuer Name and Ticker or Trading Symbol <u>WORKIVA INC [WK]</u>	5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner <input checked="" type="checkbox"/> Officer (give title below) Other (specify below) <u>VP, Treasurer & CAO</u>
	3. Date of Earliest Transaction (Month/Day/Year) 11/14/2018	
		6. Individual or Joint/Group Filing (Check Applicable Line) <input checked="" type="checkbox"/> Form filed by One Reporting Person <input type="checkbox"/> Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
Class A Common Stock	11/14/2018		S		875	D	\$34.39	34,354	D	
Class A Common Stock	11/14/2018		S		2,625	D	\$35.35 ⁽¹⁾	31,729	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	V	(A)	(D)	Date Exercisable	Expiration Date					
Employee Stock Option to Purchase Class A Common Stock ⁽²⁾	\$18.6							07/03/2018	07/02/2027	Class A Common Stock		25,000	D	
Employee Stock Option to Purchase Class A Common Stock ⁽²⁾	\$12.4							02/01/2018 ⁽³⁾	02/01/2027	Class A Common Stock		10,000	D	
Employee Stock Option to Purchase Class A Common Stock ⁽²⁾	\$14.03							04/01/2016 ⁽⁴⁾	08/31/2025	Class A Common Stock		16,000	D	
Employee Stock Option to Purchase Class A Common Stock ⁽⁵⁾	\$15.83							08/12/2015 ⁽³⁾	08/11/2024	Class A Common Stock		11,880	D	
Employee Stock Option to Purchase Class A Common Stock ⁽⁵⁾	\$15.86							01/14/2015 ⁽³⁾	01/13/2024	Class A Common Stock		5,544	D	

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)**

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Employee Stock Option to Purchase Class A Common Stock ⁽⁵⁾	\$15.86							01/14/2014 ⁽³⁾	01/13/2024	Class A Common Stock	911		911	D	
Employee Stock Option to Purchase Class A Common Stock ⁽⁵⁾	\$8.48							12/28/2013 ⁽³⁾	12/27/2022	Class A Common Stock	5,940		5,940	D	

Explanation of Responses:

- The price reported in Column 4 is a weighted-average price. The prices actually received range from \$35.20 to \$35.66. For all transactions reported in this Form 4 utilizing a weighted-average price, the reporting person undertakes to provide upon request by the SEC staff, the issuer, or a security holder of the issuer, full information regarding the number of shares sold at each separate price within the range.
- Granted pursuant to the 2014 Equity Incentive Plan.
- Vests as to 25% of the shares on the first anniversary of the grant date and then 6.25% of the shares at the end of each three-month period thereafter.
- Vests as to 25% of the shares on April 1, 2016 and as to 6.25% of the shares at the end of each three-month period thereafter.
- Granted pursuant to the 2009 Unit Incentive Plan.

Remarks:

/s/ Troy M. Calkins as attorney- 11/16/2018
in-fact for Jill E. Klindt

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.