| SEC Form 4 |
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Instruction 1(b).

## FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

| OMB Number:              | 3235-0287 |  |  |  |  |  |  |  |  |  |
|--------------------------|-----------|--|--|--|--|--|--|--|--|--|
| Estimated average burden |           |  |  |  |  |  |  |  |  |  |
| hours per response       | : 0.5     |  |  |  |  |  |  |  |  |  |

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person <sup>*</sup><br>MULCAHY DAVID S.         |                         |                |                  | er Name <b>and</b> Ticke<br>RKIVA INC | 0                 | Symbol  | 5. Relationship of Reporting Person(s) to Issuer<br>(Check all applicable)<br>X Director 10% Owner |  |                              |                          |  |  |
|--|-------------------------|----------------|------------------|---------------------------------------|-------------------|---|--|--|------------------------------|--------------------------|--|--|
| (Last)<br>2900 UNIV  | (First)<br>ERSITY BOULE | (Middle)       | 3. Date<br>06/03 | e of Earliest Transa<br>/2021         | action (Month/I   | Day/Year)   |  | Officer (give title below)   |                              | (specify                 |  |  |
| (Street)<br>AMES<br>(City)   | IA<br>(State)           | 50010<br>(Zip) | 4. If Ar         | nendment, Date of                     | Original Filed    | (Month/Day/Year)                                      | 6. Indiv<br>Line)<br>X   | ridual or Joint/Grou<br>Form filed by On<br>Form filed by Mo<br>Person | e Reporting Per              | son                      |  |  |
| Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |                         |                |                  |                                       |                   |   |  |  |                              |                          |  |  |
| 1. Title of Security (Instr. 3) 2. Transac<br>Date                               |                         |                |                  | 2A. Deemed<br>Execution Date,         | 3.<br>Transaction | 4. Securities Acquired (<br>Disposed Of (D) (Instr. 3 |  | 5. Amount of<br>Securities   | 6. Ownership<br>Form: Direct | 7. Nature<br>of Indirect |  |  |

|                      | (Month/Day/Year) | if any<br>(Month/Day/Year) | Code (Instr.<br>8)      |   | 5)     |               |       | Beneficially<br>Owned Following<br>Reported | (D) or Indirect<br>(I) (Instr. 4) | Beneficial<br>Ownership<br>(Instr. 4) |
|----------------------|------------------|----------------------------|-------------------------|---|--------|---------------|-------|---|-----------------------------------|---------------------------------------|
|                      |                  |                            | Code                    | v | Amount | (A) or<br>(D) | Price | Transaction(s)<br>(Instr. 3 and 4)          |                                   | (1150.4)                              |
| Class A Common Stock | 06/03/2021       |                            | <b>A</b> <sup>(1)</sup> |   | 2,041  | Α             | (1)   | 236,166                                     | D                                 |                                       |

 
 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 4.<br>Transa<br>Code (<br>8) |   | of Expiration I<br>Derivative Securities<br>Acquired<br>(A) or<br>Disposed<br>of (D)<br>(Instr. 3, 4<br>and 5) Date |  | 6. Date Exerc<br>Expiration Da<br>(Month/Day/Y |                    |       |  | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 4) | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---|---|--|---|------------------------------|---|---|--|--|--------------------|-------|--|---|--|--|--|
|   |   |  |   | Code                         | v |   |  | Date<br>Exercisable                            | Expiration<br>Date | Title | Amount<br>or<br>Number<br>of<br>Shares |   |  |  |  |

Explanation of Responses:

1. Grant of restricted stock units pursuant to the 2014 Equity Incentive Plan.

**Remarks:** 

<u>/s/ Brandon E. Ziegler as</u>

<u>Mulcahy</u>

attorney-in-fact for David S.

06/07/2021

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.