

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL	
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

1. Name and Address of Reporting Person* <u>VANDERPLOEG MARTIN J.</u>			2. Issuer Name and Ticker or Trading Symbol <u>WORKIVA INC [WK]</u>			5. Relationship of Reporting Person(s) to Issuer (Check all applicable) <input checked="" type="checkbox"/> Director <input checked="" type="checkbox"/> 10% Owner <input checked="" type="checkbox"/> Officer (give title below) <input type="checkbox"/> Other (specify below) President & CEO			
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year) <u>08/09/2021</u>			6. Individual or Joint/Group Filing (Check Applicable Line) <input checked="" type="checkbox"/> Form filed by One Reporting Person <input type="checkbox"/> Form filed by More than One Reporting Person			
2900 UNIVERSITY BOULEVARD			4. If Amendment, Date of Original Filed (Month/Day/Year)						
(Street)	AMES	IA	50010						
(City)	(State)	(Zip)							

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Beneficial Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price			
Class A Common Stock	08/09/2021		C ⁽¹⁾		561,029	A	\$0	713,597	I	By living trust
Class A Common Stock	08/09/2021		S ⁽¹⁾		691,029	D	\$127.5	22,568	I	By living trust
Class A Common Stock	08/09/2021		M ⁽¹⁾		44,550	A	\$15.83	402,251	D	
Class A Common Stock	08/09/2021		S ⁽¹⁾		44,550	D	\$127.5	357,701	D	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Class B Common Stock ⁽²⁾	(2)	08/09/2021		C		561,029		(2)	(2)	Class A Common Stock	561,029	\$0	1,392,994	I	By living trust
Employee Stock Option to Purchase Class A Common Stock ⁽³⁾	\$15.83	08/09/2021		M		44,550		08/12/2015 ⁽⁴⁾	08/11/2024	Class A Common Stock	44,550	\$0	133,650	D	
Class B Common Stock ⁽²⁾	(2)							(2)	(2)	Class A Common Stock	889,020		889,020	I	By charitable remainder trust
Employee Stock Option to Purchase Class A Common Stock ⁽⁵⁾	\$12.4							02/01/2018 ⁽⁶⁾	01/31/2027	Class A Common Stock	200,204		200,204	D	
Employee Stock Option to Purchase Class A Common Stock ⁽⁵⁾	\$14.74							02/01/2017 ⁽⁶⁾	01/31/2026	Class A Common Stock	168,421		168,421	D	

Explanation of Responses:

- The sales reported in this Form 4 were transacted for tax planning purposes in connection with a divorce settlement agreement.
- Each share of Class B Common Stock is convertible, at any time at the election of the holder, into one share of Class A Common Stock. In addition, each share of Class B Common Stock will convert automatically into one share of Class A Common Stock upon (i) the date specified by the holders of at least 66 2/3% of the outstanding shares of Class B Common Stock, (ii) any transfer, except for certain "qualified transfers" (as defined in the Issuer's Certificate of Incorporation) or (iii) upon the death of a natural person holding shares of Class B Common Stock (subject to certain exceptions as defined in the Issuer's Certificate of Incorporation).
- Granted pursuant to 2009 Unit Incentive Plan.
- Vests as to 25% of the shares on the first anniversary of the grant date and as to 6.25% of the shares at the end of each three-month period thereafter.
- Grant of stock option pursuant to the 2014 Equity Incentive Plan.
- Vests in three equal annual installments commencing on the first anniversary of the grant date.

Remarks:

/s/ Brandon E. Ziegler as

08/11/2021

[attorney-in-fact for Martin J. Vanderploeg](#)

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.