## FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to
Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940 OMB APPROVAL
OMB Number: 3235-0287
Estimated average burden
hours per response: 0.5

1. Name and Address of Reporting Person* VANDERPLOEG MARTIN J							2. Issuer Name and Ticker or Trading Symbol <u>WORKIVA INC</u> [ WK ]										5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director X 10% Owner					
(Last) 2900 UN		3. Date of Earliest Transaction (Month/Day/Year) 12/10/2018											Officer ( below)	give title Preside	nt &	below)	(specify					
(Street) AMES IA 50010					4	4. If Amendment, Date of Original Filed (Month/Day/Year)											6. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person					
(City) (State) (Zip)																	Form filed by More than One Reporting Person					
		Та	ble I - No	on-De	rivati	ive S	ecuri	ities	Acquir	ed,	Dis	posed	of, o	r Ber	neficia	ally (	Owned					
1. Title of Security (Instr. 3) Date (Month/Da							2A. Deemed Execution Date, if any (Month/Day/Year)		Co	Transaction Code (Instr.		4. Securities Acquired (A) o Disposed Of (D) (Instr. 3, 4 a						s Ily ollowing	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership	
									Cod	de	v	Amount		(A) or (D)	Price		Transaction(s) (Instr. 3 and 4)				(Instr. 4)	
Class A Common Stock 12/10/2						2018			S <sup>()</sup>	1)		25,00	000 D		\$34.	62 <sup>(2)</sup>	<sup>2)</sup> <b>500,000</b>			Ι	By living trust	
Class A Common Stock																	260,	0,077		D		
Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)																						
1. Title of Derivative Security (Instr. 3)	tive Conversion Date Execution D ty or Exercise (Month/Day/Year) if any			I 4. Date, Transact Code (In		action	5. Number on of		6. Date Exercis Expiration Date (Month/Day/Yea				7. Title and Amoun Securities Underly Derivative Securit (Instr. 3 and 4)		mount nderlyin ecurity	of 8 Ig 1	3. Price of Derivative Security Instr. 5)	9. Numbe derivativ Securitie Beneficia Owned Followin Reported Transact (Instr. 4)	e s ally g	10. Ownershi Form: Direct (D) or Indirec: (I) (Instr. 4	Beneficial Ownership t (Instr. 4)	
					Code	v	(A)	(D)	Date Exercisa	able		piration ate	Title	N	mount o umber o hares							
Class B Common Stock <sup>(3)</sup>	(3)								(3)			(3)	Class Comr Stoo	non 2	,515,0	09		2,515,0	009	I	By living trust	
Class B Common Stock <sup>(3)</sup>	(3)								(3)			(3)	Class A Common Stock 889,0		889,02	20		889,02		I	By charitable remainder trust	
Employee Stock Option to Purchase Class A Common Stock <sup>(4)</sup>	\$12.4								02/01/20	)18 <sup>(5)</sup>	01	/31/2027 Com		Class A common 200,204 Stock		)4		200,204		D		
Employee Stock Option to Purchase Class A Common Stock <sup>(4)</sup>	\$14.74								02/01/20	)17 <sup>(5)</sup>	01	/31/2026	Class Comr Stoo	non	168,42	21		168,4	21	D		
Employee Stock Option to Purchase Class A Common Stock <sup>(6)</sup>	\$15.83								08/12/20	)15 <sup>(7)</sup>	08	3/11/2024	Class Comr Stoo	non	178,20	00		178,2	00	D		

Explanation of Responses:

1. The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on August 23, 2018.

2. The price reported in Column 4 is a weighted-average price. The prices actually received range from \$34.22 to \$35.01. For all transactions reported in this Form 4 utilizing a weighted-average price, the reporting person undertakes to provide upon request by the SEC staff, the issuer, or a security holder of the issuer, full information regarding the number of shares sold at each separate price within the range.

3. Each share of Class B Common Stock is convertible, at any time at the election of the holder, into one share of Class A Common Stock. In addition, each share of Class B Common Stock will convert automatically into one share of Class A Common Stock upon (i) the date specified by the holders of at least 66 2/3% of the outstanding shares of Class B Common Stock, (ii) any transfer, except for certain "qualified transfers" (as defined in the Issuer's Certificate of Incorporation) or (iii) upon the death of a natural person holding shares of Class B Common Stock (subject to certain exceptions as defined in the Issuer's Certificate of Incorporation).

4. Grant of stock option pursuant to the 2014 Equity Incentive Plan.

5. Vests in three equal annual installments commencing on the first anniversary of the grant date.

6. Granted pursuant to 2009 Unit Incentive Plan.

7. Vests as to 25% of the shares on the first anniversary of the grant date and as to 6.25% of the shares at the end of each three-month period thereafter.

**Remarks:** 

/s/ Troy M. Calkins as attorneyin-fact for Martin J. Vanderploeg

\*\* Signature of Reporting Person

12/12/2018

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.