SEC Form 4	
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FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

OMB Number:	3235-0287
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

16(a) of the S E. . . . A =+ =£ 100 4

instruc	tion 1(b).			FIIE								mpany Act		f 1934	4		<u> </u>					
1. Name and Address of Reporting Person* VANDERPLOEG MARTIN J.						2. Issuer Name and Ticker or Trading Symbol WORKIVA INC [WK]										5. Relationship of Reporting Person(s) to Issuer (Check all applicable) X Director 10% Owner						
(Last)						3. Date of Earliest Transaction (Month/Day/Year) 12/29/2021										Director Officer (give title below) President & CE			Other (s below)	,		
2900 UNIVERSITY BOULE VARD						4. If Amendment, Date of Original Filed (Month/Day/Year)										6. Individual or Joint/Group Filing (Check Applicable Line)						
AMES																	iled by One Reporting Pe iled by More than One Re		•			
(City)	(5	State)	(Zip)													Person						
Table I - Nor 1. Title of Security (Instr. 3)				2. Trans Date	2. Transaction			n 2A. Deemed Execution Date,			3. 4. Sect Transaction Code (Instr.			d of, or Beneficial curities Acquired (A) or used Of (D) (Instr. 3, 4 and			t of S Ily Dllowing	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership		
									С	ode	v	Amount	(A (D	or	Price	Reported Transactio (Instr. 3 a				(Instr. 4)		
Class A Common Stock					12/29/2021			_				133,65	_	A	\$15.83	510,672		D				
Class A Common Stock Class A Common Stock				12/29	12/29/2021					М	┢	84,21	1	A	\$14.74	359		D		By living		
			Table II -)wned				trust		
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution D if any (Month/Day/	ate, 4. Co	ansa ode (ction Instr.	5. Number of Derivative		6. Da Expir	6, Options, C 6. Date Exercisa Expiration Date (Month/Day/Yea		able and	7. Title and Amo of Securities Underlying Derivative Secu (Instr. 3 and 4)		mount ecurity	8. Price of Derivative Security (Instr. 5)	9. Number derivative Securitie Beneficia Owned Following Reported	e Ownersh s Form: ally Direct (D or Indire g (I) (Instr.	Ownership	Beneficia Ownershi (Instr. 4)		
				Co	ode	v	(A)	(D)	Date Exerc	cisable		Expiration Date	Title	0 N	mount r umber f Shares		Transacti (Instr. 4)					
Employee Stock Option to Purchase Class A Common Stock ⁽¹⁾	\$15.83	12/29/2021		1	М			133,650	08/12	2/2015 ⁽	2)	08/11/2024	Class Comm Stoc	on 1	33,650	\$0	0		D			
Employee Stock Option to Purchase Class A Common Stock ⁽³⁾	\$14.74	12/29/2021		м			84,211 0		02/01	02/01/2017 ⁽⁴⁾		01/31/2026	Class Comm Stoc	on 🛛	34,211	\$0	84,21	10	D			
Class B Common	(5)									(5)	T	(5)	Class Comm	A on 7	10,562		710,5	62	I	By living		

Explanation of Responses:

(5)

\$12.4

Stock⁽⁵⁾

Class B

Commo

Stock⁽⁵⁾

Employee Stock Option to

Purchase

Class A

Common Stock⁽³⁾

1. Granted pursuant to 2009 Unit Incentive Plan.

2. Vests as to 25% of the shares on the first anniversary of the grant date and as to 6.25% of the shares at the end of each three-month period thereafter.

3. Grant of stock option pursuant to the 2014 Equity Incentive Plan.

4. Vests in three equal annual installments commencing on the first anniversary of the grant date.

5. Each share of Class B Common Stock is convertible, at any time at the election of the holder; into one share of Class A Common Stock. In addition, each share of Class B Common Stock will convert automatically into one share of Class B Common Stock upon (i) the date specified by the holders of at least 66 2/3% of the outstanding shares of Class B Common Stock, (ii) any transfer, except for certain "qualified transfers" (as defined in the Issuer's Certificate of Incorporation) or (iii) upon the death of a natural person holding shares of Class B Common Stock (subject to certain exceptions as defined in the Issuer's Certificate of Incorporation).

(5)

02/01/2018⁽⁴⁾

(5)

01/31/2027

Stock

Class A

Commor

Stock

Class A

Commo

Stock

Remarks:

/s/ Brandon E. Ziegler as attorney-in-fact for Martin J. Vanderploeg

12/30/2021

Date

491,270

200,204

By charitable

remainder trust

trust

I

D

** Signature of Reporting Person

491,270

200,204

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 \ast If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.