## SEC Form 4

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## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

	017.12						
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

					0	r Sect	ion 30(	n) of t	ne Inv	vestmen	Con	npany Ac	t of 1940								
1. Name and Address of Reporting Person VANDERPLOEG MARTIN J.						2. Issuer Name and Ticker or Trading Symbol WORKIVA INC [ WK ]										5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
	VANDERI LOEO MARTIN J.														>	X Director			10% Owner		
(Last)	(F	(First) (Middle)			3. Date of Earliest Transaction (Month/Day/Year) 02/01/2024											Officer below)	(give title	Other (s below)			
2900 UNIVERSITY BOULEVARD					4.	4. If Amendment, Date of Original Filed (Month/Day/Year)										6. Individual or Joint/Group Filing (Check Applicable Line)					
(Street)															2	Form fil	ed by One	Repo	orting Perso	on	
AMES	IA	A Contraction of the second se	50010										Form filed by More than One Reporting Person								
(City)	ity) (State) (Zip)						Rule 10b5-1(c) Transaction Indication														
			Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10.															d to satisfy			
		Ta	ble I - Noi	n-Deri	ivativ	ve Se	curit	ies A	Acqu	uired,	Disp	osed	of, or	Bene	eficially	y Owned					
1. Title of Security (Instr. 3)				2. Transaction Date (Month/Day/Year)		ear)	2A. Deemed Execution Dat if any (Month/Day/Ye		Code (In:		tion Dispose		rities Acquired (A) ed Of (D) (Instr. 3, 4			5) Securitie Beneficia Owned F	neficially ned Following		wnership n: Direct or Indirect nstr. 4)	7. Nature o Indirect Beneficial Ownership	
										Code	v	Amount	t (A (C	) or )	Price	Transact	<ul> <li>Reported Transaction(s) (Instr. 3 and 4)</li> </ul>			(Instr. 4)	
Class A (	Common St	ock		02/0	02/01/2024					<b>F</b> <sup>(1)</sup>		8,00	94	D	\$95.37	7 373,202		D			
Class A Common Stock																282,064		Ι		By living trust	
			Table II -									osed of onvert				Owned					
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution I if any (Month/Day	Date,	4. Transaction Code (Instr. 8)				6. Date Exercis Expiration Dat (Month/Day/Ye		ate	e and	7. Title and Amo of Securities Underlying Derivative Secur (Instr. 3 and 4)		curity	8. Price of Derivative Security (Instr. 5)	tive derivativ ty Securitie		10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Natur of Indirec Beneficia Ownersh (Instr. 4)	
					Code V		(A)	(D) Exc		e rcisable	Ex Da	piration	Title	or N	mount umber Shares						
Class B Common Stock <sup>(2)</sup>	(2)									(2)		(2)	Class A Commo Stock	n 7	10,562		710,56	52	I	By livin trust	
Class B Common Stock <sup>(2)</sup>	(2)									(2)		(2)	Class A Commo Stock	n 4	91,270		491,27	70	I	By charitab remaind trust	
Employee tock Option to urchase Class A Common tock <sup>(3)</sup>	\$14.74								02/0	01/2017 <sup>(4</sup>	) 01	/31/2026	Class A Commo Stock	n 8	34,210		84,21	0	D		
Employee Stock											╞										

## Explanation of Responses:

\$12.4

1. Shares delivered to the issuer for payment of withholding taxes due upon the vesting of restricted stock units previously granted.

2. Each share of Class B Common Stock is convertible, at any time at the election of the holder, into one share of Class A Common Stock. In addition, each share of Class B Common Stock will convert automatically into one share of Class A Common Stock upon (i) the date specified by the holders of at least 66 2/3% of the outstanding shares of Class B Common Stock, (ii) any transfer, except for certain "qualified transfers" (as defined in the Issuer's Certificate of Incorporation) or (iii) upon the death of a natural person holding shares of Class B Common Stock (subject to certain exceptions as defined in the Issuer's Certificate of Incorporation) or (iii) upon the death of a natural person holding shares of Class B Common Stock (subject to certain exceptions as defined in the Issuer's Certificate of Incorporation).

02/01/2018<sup>(4)</sup> 01/31/2027

3. Grant of stock option pursuant to the 2014 Equity Incentive Plan.

4. Vests in three equal annual installments commencing on the first anniversary of the grant date.

**Remarks:** 

Purchase Class A

Common Stock<sup>(3)</sup>

> /s/ Brandon E. Ziegler as attorney-in-fact for Martin J. Vanderploeg

200,204

Common Stock

02/05/2024

Date

200,204

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\*\* Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a). Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.