SEC Form 4	
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UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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FORM 4

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* <u>TROM JEFF D.</u>						2. Issuer Name and Ticker or Trading Symbol <u>WORKIVA INC</u> [WK]								5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director X 10% Owner X Officer (give title Other (spectrum)				
(Last) (First) (Middle) 2900 UNIVERSITY BOULEVARD							of Earli 2021	est Trar	nsaction ((Mont	h/Day/Year)		X below) below) below) Executive VP & CTO					
(Street) AMES	4.	4. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Check Applica Line) X Form filed by One Reporting Person																
(City)	-										Form filed by More than One Reporting Person							
		Tal	ble I - N	on-Der	ivativ	/e S	ecurit	ties A	cquire	d, D	isposed	of, or Be	eneficial	ly Owned				
1. Title of Security (Instr. 3) 2. Transactio Date (Month/Day/						Execution Date,			-	instr.				and 5) Securities Beneficially Owned Followin Reported Transaction(c)		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership (Instr. 4)
Class A Common Stock 06					/2021				Code S ⁽¹⁾	v	Amount 8,168	(D)	Price \$112.70	(Instr. 3	(Instr. 3 and 4)		I	By revocable trust
Class A Common Stock				06/25	/2021)21			S ⁽¹⁾		12,501	D	\$113.62	\$113.62 ⁽³⁾ 104		104,331		By revocable trust
Class A Common Stock			06/25	/2021)21		S ⁽¹⁾		4,331	D	\$114.49	4.49 ⁽⁴⁾ 100,0		00 I		By revocable trust		
Class A G	Common St	ock												27	270,976		D	
			Table II								sposed of , convert			Owned				
1. Title of Derivative 2. 3. Transaction 3A. Deemed Execution Date Security or Exercise (Month/Day/Year) if any			4. Transa Code 8)	actior	5. Number (6. Date Exercis Expiration Dat (Month/Day/Ye		sable and e	7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)					10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)		
					Code	v	(A)		Date Exercisa	ble	Expiration Date	Title	Amount or Number of Shares					
Class B Common Stock ⁽⁵⁾	(5)								(5)		(5)	Class A Common Stock	778,645		778,6	645	I	By revocable trust
Class B Common Stock ⁽⁵⁾	(5)								(5)		(5)	Class A Common Stock	328,402		328,4	02	I	By charitable remainder trust
Class B Common Stock ⁽⁵⁾	(5)								(5)		(5)	Class A Common Stock	57,000		57,00	00	I	By irrevocable trust
Class B Common Stock ⁽⁵⁾	(5)								(5)		(5)	Class A Common Stock	57,000		57,00	00	I	By irrevocable trust
Class B Common Stock ⁽⁵⁾	(5)								(5)		(5)	Class A Common Stock	57,000		57,00	00	Ι	By irrevocable trust
Employee Stock Option to Purchase Class A Common Stock ⁽⁶⁾	\$12.4								02/01/20	18 ⁽⁷⁾	01/31/2027	Class A Common Stock	25,215		25,21	15	D	
Employee Stock Option to Purchase Class A Common Stock ⁽⁶⁾	\$14.74								02/01/20	17 ⁽⁷⁾	01/31/2026	Class A Common Stock	21,212		21,21	12	D	

	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)														
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)		5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
	Code V		v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares						
Employee Stock Option to Purchase Class A Common Stock ⁽⁸⁾	\$15.83							08/12/2015 ⁽⁹⁾	08/11/2024	Class A Common Stock	159,592		159,592	D	

Explanation of Responses:

1. The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person on December 15, 2020 in accordance with Rule 10b5-1 of Securities Exchange Act of 1934, as amended. This Rule 10b5-1 plan provides for fixed sales of a total of up to 300,000 shares of stock options and Class A Common Stock through January 2022.

2. The price reported in Column 4 is a weighted-average price. The price actually received ranges from \$112.12 to \$113.10. For all transactions reported in this Form 4 utilizing a weighted-average price, the Reporting Person undertakes to provide upon request by the SEC staff, the issuer, or a security holder of the issuer, full information regarding the number of shares sold at each separate price within the range. 3. The price reported in Column 4 is a weighted-average price. The price actually received ranges from \$113.14 to \$114.13. For all transactions reported in this Form 4 utilizing a weighted-average price, the Reporting Person undertakes to provide upon request by the SEC staff, the issuer, or a security holder of the issuer, full information regarding the number of shares sold at each separate price within the range. 4. The price reported in Column 4 is a weighted-average price. The price actually received ranges from \$114.15 to \$114.78. For all transactions reported in this Form 4 utilizing a weighted-average price, the Reporting Person undertakes to provide upon request by the SEC staff, the issuer, or a security holder of the issuer, full information regarding the number of shares sold at each separate price within the range. 4. The price reported in Column 4 is a weighted-average price. The price actually received ranges from \$114.15 to \$114.78. For all transactions reported in this Form 4 utilizing a weighted-average price, the Reporting Person undertakes to provide upon request by the SEC staff, the issuer, or a security holder of the issuer, full information regarding the number of shares sold at each separate price within the range. 5. Each share of Class B Common Stock is convertible, at any time at the election of the holder, into one share of Class A Common Stock. In addition, each share of Class B Common Stock will convert

5. Each share of Class B Common Stock is convertible, at any time at the election of the holder, into one share of Class A Common Stock. In addition, each share of Class B Common Stock will convert automatically into one share of Class A Common Stock upon (i) the date specified by the holders of at least 66 2/3% of the outstanding shares of Class B Common Stock, (ii) any transfer, except for certain "qualified transfers" (as defined in the Issuer's Certificate of Incorporation) or (iii) upon the death of a natural person holding shares of Class B Common Stock (subject to certain exceptions as defined in the Issuer's Certificate of Incorporation).

6. Granted pursuant to the 2014 Equity Incentive Plan.

7. Vests in three equal annual installments commencing on the first anniversary of the grant date.

8. Granted pursuant to 2009 Unit Incentive Plan.

9. Vests as to 25% of the shares on the first anniversary of the grant date and as to 6.25% of the shares at the end of each three-month period thereafter.

Remarks:

<u>/s/ Brandon E. Ziegler as</u> attorney-in-fact for Jeffrey D. <u>06/28/2021</u> <u>Trom</u>

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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