## FORM 4

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington, D.C. 20549

Check this box if no longer subject to
Section 16. Form 4 or Form 5
obligations may continue. See
Instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

**OMB APPROVAL** OMB Number: 3235-0287 Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

ı					$\overline{}$															
1. Name and Address of Reporting Person*  VANDERPLOEG MARTIN J						2. Issuer Name and Ticker or Trading Symbol WORKIVA INC [ WK ]								Relationship of Reporting Person(s) to Issuer (Check all applicable)     X Director X 10% Owner						
(Last) 2900 UN	•	irst)	(Middle)			Date (			saction (Mo	nth/D	ay/Year)			X	Officer ( below)			Other ( below)		
(Street) AMES	IA	A	50010		4. I	If Ame	endm	ent, Date	of Original F	Filed (	Month/Day/	Year)		. Indivine)		·		(Check Apporting Perso		
(City)	(5	State)	(Zip)												Form file Person	ed by Mor	e than	One Repo	rting	
		T:	hle I - Nor	n-Deriv	ztiv	<u> </u>	CIII	ities A	rauired	Dier	nosed of	or Rene	oficia	llv C	Jwned					
1. Title of Security (Instr. 3)			2. Transaction Date (Month/Day/		ction 2 ay/Year) i		Deemed oution Date	3. Transaction Code (Instr.		4. Securities Acquired (A) of Disposed Of (D) (Instr. 3, 4			5. Amoun and 5) Securities Beneficia Owned Fo		ly	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership		
									Code	v	Amount	(A) or (D)	Price	,	Reported Transactio (Instr. 3 an				(Instr. 4)	
Class A (	Common Sto	ock		02/25	/25/2016				G	V	34,783	D	\$(	0	186,491(1)			D		
Class A Common Stock 02/25				5/201	/2016			G	V	34,783	3 A		0	34,783		I		By living trust		
Class A Common Stock 05/12/				2/201	2016		С		240,217	7 A	\$(	0	275,000			I	By living trust			
			Table II -								sed of, o			y Ov	wned					
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative	3. Transaction Date (Month/Day/Year)	3A. Deemed	a. Deemed 4. tecution Date, Tra		I. Fransaction Code (Instr.		umber of	6. Date Exe		ble and 7. Title and Am of Securities			Derivative Security		9. Number derivative Securities Beneficia Owned Following Reported	Ownersi Form: Direct (I or Indire (I) (Instr		Beneficial Ownership ct (Instr. 4)	
	Security		(Month/Day/Y				Secu Acqu or D of (D	vative urities uired (A) isposed o) (Instr. and 5)	Expiration (Month/Day	Date	)	of Securitie Underlying Derivative S	s Security	1	Derivative Security	Securitie Beneficia Owned Following Reported	es ally g		of Indirect Beneficial Ownership (Instr. 4)	
	Security		(Month/Day/Y				Secu Acqu or D of (D	urities uired (A) isposed o) (Instr.		Date	)	of Securitie Underlying Derivative S (Instr. 3 and	security 4) Amount	,	Derivative Security	Securitie Beneficia Owned Following	es ally g	Form: Direct (D) or Indirect	of Indirect Beneficial Ownership (Instr. 4)	
	Security		(Month/Day/Y	(ear) 8)	ode (In		Secu Acqu or D of (D	urities uired (A) isposed o) (Instr.		Date y/Year	expiration	of Securitie Underlying Derivative S (Instr. 3 and	s Security 4)	t r	Derivative Security	Securitie Beneficia Owned Following Reported Transact	es ally g	Form: Direct (D) or Indirect	of Indirect Beneficial Ownership (Instr. 4)	
Class B Common Stock <sup>(2)</sup>	(2)	05/12/2016	(Month/Day/Y	(ear) 8)	ode (In	nstr.	Secu Acqu or D of (D 3, 4	urities uired (A) isposed b) (Instr. and 5)	(Month/Day	Date y/Year	Expiration oate	of Securitie Underlying Derivative S (Instr. 3 and	Security 4) Amount or Number	t rees	Derivative Security	Securitie Beneficia Owned Following Reported Transact	es ally g i ion(s)	Form: Direct (D) or Indirect	of Indirect Beneficial Ownership (Instr. 4)	
Common		05/12/2016	(Month/Day/Y	(ear) 8)	ode (In	nstr.	Secu Acqu or D of (D 3, 4	urities uired (A) isposed o) (Instr. and 5)	(Month/Day	Date y/Year	expiration oate	of Securitie Underlying Derivative S (Instr. 3 and  Title  Class A Common Stock  Class A	Security 4) Amount or Number	t res	Derivative Security (Instr. 5)	Securitie Beneficia Owned Followin Reported Transact (Instr. 4)	es ally g d ion(s)	Form: Direct (D) or Indirect (I) (Instr. 4	of Indirect Beneficial Ownership (Instr. 4)	
Common Stock <sup>(2)</sup> Class B Common	(2)	05/12/2016	(Month/Day/Y	(ear) 8)	ode (In	nstr.	Secu Acqu or D of (D 3, 4	urities uired (A) isposed o) (Instr. and 5)	Date Exercisabl	Date y/Year	expiration (2)	of Securitie Underlying Derivative S (Instr. 3 and  Title  Class A Common Stock  Class A Common Stock	Security 4) Amount or Number of Share	t t rrees 117	Derivative Security (Instr. 5)	Securitie Beneficia Owned Followin, Reported Transact (Instr. 4)	es ally g d ion(s)	Form: Direct (D) or Indirect (I) (Instr. 4	By living trust  By charitable remainder	

### Explanation of Responses:

- $1. \ Includes \ unvested \ restricted \ stock \ units.$
- 2. Each share of Class B Common Stock is convertible, at any time at the election of the holder, into one share of Class A Common Stock. In addition, each share of Class B Common Stock will convert automatically into one share of Class A Common Stock upon (i) the date specified by the holders of at least 66 2/3% of the outstanding shares of Class B Common Stock, (ii) any transfer, except for certain "qualified transfers" (as defined in the Issuer's Certificate of Incorporation) or (iii) upon the death of a natural person holding shares of Class B Common Stock (subject to certain exceptions as defined in the Issuer's Certificate of Incorporation).
- 3. Granted pursuant to 2014 Equity Incentive Plan.
- 4. Vests in three equal annual installments commencing on the first anniversary of the grant date.
- 5. Granted pursuant to 2009 Unit Incentive Plan.
- 6. Vests as to 25% of the shares on the first anniversary of the grant date and as to 6.25% of the shares at the end of each three-month period thereafter.

# Remarks:

/s/ Troy M. Calkins as attorneyin-fact for Martin J. **Vanderploeg** 

05/16/2016

\*\* Signature of Reporting Person

Date

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.