П

## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5
obligations may continue. See Instruction 1(b).

Class A Common Stock

Class A Common Stock

Class A Common Stock Class A Common Stock

> 2. Conversion

or Exercise

Price of

Security

Derivative

\$2.53

\$14.07

\$14.28

\$13.55

\$15.86

3. Transaction

(Month/Day/Year)

02/25/2019

02/25/2019

02/25/2019

02/25/2019

Date

1. Title of Derivative

Security (Instr. 3)

Employee Stock Option to

Purchase

Class A

Common Stock Employee Stock Option to

Purchase

Class A

Common Stock Employee Stock Option to

Purchase

Common Stock Employee Stock Option to Purchase

Class A

Class A

Common Stock Employee Stock Option to

Purchase

Class A

Common Stock

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940 OMB APPROVAL
OMB Number: 3235-0287
Estimated average burden
hours per response: 0.5

				or Section 30(n) of the	mvesu	nent	Sompany Act of	JI 1940					
1. Name and Address of Reporting Person <sup>*</sup> Banarjee Mithun				2. Issuer Name and Ticker or Trading Symbol WORKIVA INC [ WK ]						ationship of Reporting k all applicable) Director	suer Dwner		
(Last) 2900 UNIV	(First) ERSITY BOULEN		3. Date of Earliest Trans )2/25/2019	saction	(Mont	h/Day/Year)	X	Officer (give title Other (specify below) below) EVP and Chief Customer Officer					
(Street) AMES	ΙΑ	50010	4	4. If Amendment, Date of Original Filed (Month/Day/Year)				6. Indi Line) X	X Form filed by One Reporting Person Form filed by More than One Reporting				
(City)	(State)	(Zip)								Person			
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned													
1. Title of Security (Instr. 3) 2. Transact Date (Month/Day				ar) 2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		4. Securities Disposed Of			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
					Code V		Amount (A) or (D) Price		Price	Transaction(s) (Instr. 3 and 4)		(11301 4)	
Class A Common Stock 02/25/2				9	М		9,900	A	\$2.53	148,169	D		

М

Μ

Μ

S

5. Number of

Derivative Securities

Acquired (A)

or Disposed of (D) (Instr. 3, 4 and 5)

9,900<sup>(2)</sup>

15.000<sup>(2)</sup>

4,100<sup>(2)</sup>

11,000<sup>(2)</sup>

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

6. Date Exercisable and

Expiration Date

Date Exercisable

03/25/2012

04/01/2016

07/01/2017

01/03/2018

01/14/2015<sup>(2)</sup>

(Month/Day/Year)

15,000

4,100

11,000

40,000

Expiration Date

11/01/2021

03/31/2025

07/31/2026

01/02/2027

01/13/2024

А

A

A

D

\$14.07

\$14.28

\$13.55

\$48.3175(1)

Amount

Shares

9,900

15,000

4,100

11,000

11,880

or Number

7. Title and Amount

Underlying Derivative Security

of Securities

(Instr. 3 and 4)

Title

Class A

Common

Stock

Class A

Common

Stock

Class A

Commor

Stock

Class A

Common

Stock

Class A

Common

Stock

163,169

167,269

178,269

138,269

8. Price of

Derivative

Security

(Instr. 5)

\$<mark>0</mark>

\$<mark>0</mark>

\$<mark>0</mark>

\$<mark>0</mark>

9. Number of

derivative

Securities

Owned Following Reported

(Instr. 4)

Transaction(s)

0

1,000

15,900

11,000

11,880

Beneficially

D

D

D

D

10.

Form:

Ownership

Direct (D)

or Indirect (I) (Instr. 4)

D

D

D

D

D

11. Nature

of Indirect

Beneficial

(Instr. 4)

Ownership

02/25/2019

02/25/2019

02/25/2019

02/25/2019

Transaction

Code (Instr.

v

Code

Μ

Μ

Μ

Μ

(A) (D)

8)

3A. Deemed

if any

Execution Date

(Month/Day/Year)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned         (e.g., puts, calls, warrants, options, convertible securities)															
1. Title of Derivative Security (Instr. 3)				5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)		
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)		
Employee Stock Option to Purchase Class A Common Stock	\$15.86							01/14/2014 <sup>(3)</sup>	01/13/2024	Class A Common Stock	1,149		1,149	D	
Employee Stock Option to Purchase Class A Common Stock	\$15.83							11/01/2015 <sup>(2)</sup>	11/05/2024	Class A Common Stock	13,860		13,860	D	
Employee Stock Option to Purchase Class A Common Stock	\$16.66							01/04/2017 <sup>(2)</sup>	01/03/2026	Class A Common Stock	40,000		40,000	D	

## Explanation of Responses:

1. The price reported in Column 4 is a weighted-average price. The prices actually received range from \$48.2737 to \$48.45. For all transactions reported in this Form 4 utilizing a weighted-average price, the reporting person undertakes to provide upon request by the SEC staff, the issuer, or a security holder of the issuer, full information regarding the number of shares sold at each separate price within the range. 2. Vests as to 25% of the shares on the first anniversary of the grant date and as to 6.25% of the shares at the end of each three-month period thereafter.

3. Fully vested on upon the grant date.

**Remarks:** 

/s/ Troy M. Calkins as attorney-02/27/2019

in-fact for Mithun Banarjee

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.