SEC	Form	4
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#### FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

OMB Number:	3235-0287						
Estimated average burden							
hours per response:	0.5						

	Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. <i>See</i> Instruction 1(b).	
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### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

	Address of Reporting F		uer Name <b>and</b> Ticke RKIVA INC			Symbol		5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
<u>Howell Joseph H.</u>						L			Director	10%	Owner		
									— x	Officer (give title		(specify	
(Last)	(First)	(Middle)		te of Earliest Transa	action (N	/onth/	Day/Year)		below)	below	()		
2900 UNIV	2900 UNIVERSITY BOULEVARD			3/2017					Execu	ıtive VP			
2000 0111	LIGHT DOULL	inite inite											
(Street)		4. If A	4. If Amendment, Date of Original Filed (Month/Day/Year)						6. Individual or Joint/Group Filing (Check Applicable Line)				
AMES	IA	50010							X	Form filed by One	e Reporting Pers	on	
										Form filed by Mo Person	re than One Rep	orting	
(City)	(State)	(Zip)											
		Table I - No	on-Derivative	Securities Acc	quired	l, Dis	posed of,	or Ber	neficially (	Owned			
Date			2. Transaction Date (Month/Day/Year)	Execution Date,		action (Instr.	4. Securities Disposed Of			5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership	
				Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)		
Class A Common Stock 07/0.			07/03/2017		С		24,000	A	\$0	24,000	I	By living trust	
Class A Con	nmon Stock		07/03/2017		S <sup>(1)</sup>		8,544	D	\$18.61 <sup>(2)</sup>	15,456	I	By living trust	
Class A Con	nmon Stock		07/05/2017		S <sup>(1)</sup>		15,456	D	\$18.61 <sup>(3)</sup>	0	I	By living trust	
Class A Con	nmon Stock								1	22,048	D	1	

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

(e.g., puts, cans, warrants, options, convertible securities)															
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3, Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		of Deri Sec Acq (A) Disp of (I	umber vative urities uired or oosed O) (Instr. and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Class B Common Stock <sup>(4)</sup>	(4)	07/03/2017		С			24,000	(4)	(4)	Class A Common Stock	24,000	\$0	617,772	Ι	By living trust
Employee Stock Option to Purchase Class A Common Stock <sup>(5)</sup>	\$15.83							08/12/2015 <sup>(6)</sup>	08/11/2024	Class A Common Stock	178,200		178,200	D	
Employee Stock Option to Purchase Class A Common Stock <sup>(7)</sup>	\$14.74							02/01/2017 <sup>(8)</sup>	01/31/2026	Class A Common Stock	4,545		4,545	D	
Employee Stock Option to Purchase Class A Common Stock <sup>(7)</sup>	\$12.4							02/01/2018 <sup>(8)</sup>	01/31/2027	Class A Common Stock	5,403		5,403	D	

Explanation of Responses:

1. The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on August 31, 2015.

2. The price reported in Column 4 is a weighted-average price. The prices actually received range from \$18.45 to \$19.00. For all transactions reported in this Form 4 utilizing a weighted-average price, the reporting person undertakes to provide upon request by the SEC staff, the issuer, or a security holder of the issuer, full information regarding the number of shares sold at each separate price within the range.

3. The price reported in Column 4 is a weighted-average price. The prices actually received range from \$18.45 to \$18.80. For all transactions reported in this Form 4 utilizing a weighted-average price, the reporting person undertakes to provide upon request by the SEC staff, the issuer, or a security holder of the issuer, full information regarding the number of shares sold at each separate price within the range.

4. Each share of Class B Common Stock is convertible, at any time at the election of the holder, into one share of Class A Common Stock. In addition, each share of Class B Common Stock will convert automatically into one share of Class A Common Stock upon (i) the date specified by the holders of at least 66 2/3% of the outstanding shares of Class B Common Stock, (ii) any transfer, except for certain "qualified transfers" (as defined in the Issuer's Certificate of Incorporation) or (iii) upon the death of a natural person holding shares of Class B Common Stock (subject to certain exceptions as defined in the Issuer's Certificate of Incorporation).

5. Granted pursuant to 2009 Unit Incentive Plan.

6. Vests as to 25% of the shares on the first anniversary of the grant date and as to 6.25% of the shares at the end of each three-month period thereafter.

7. Granted pursuant to the 2014 Equity Incentive Plan.

8. Vests in three equal annual installments commencing on the first anniversary of the grant date.

**Remarks:** 

## <u>/s/ Troy M. Calkins as attorney-</u> <u>in-fact for Joseph H. Howell</u> 07/06/2017

\*\* Signature of Reporting Person

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.