SEC	Form	4
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## FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

								1							
1. Name and Address of Reporting Person*				2. Issuer Name and Ticker or Trading Symbol WORKIVA INC [ WK ]							5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
<u>Howell Joseph H.</u>											Director	10% 0	Dwner		
					e of Earliest Transa	ction (N	1onth/	Day/Year)	<b>—</b> x	Officer (give title below)	Other below	(specify )			
(Last) (First) (Middle)			:	11/15/2017							Executive VP				
2900 UNIV	ERSITY BOULE	VARD													
(Street)				4. If Amendment, Date of Original Filed (Month/Day/Year)						6. Indi Line)	6. Individual or Joint/Group Filing (Check Applicable Line)				
AMES	IA	50010								X	Form filed by One	e Reporting Pers	on		
											Form filed by More than One Reporting Person				
(City)	(State)	(Zip)													
		Table I - No	on-Derivat	tive S	Securities Acq	uired	, Dis	posed of,	or Ber	neficially	Owned				
Date			2. Transactic Date (Month/Day/		2A. Deemed Execution Date, if any (Month/Day/Year)	3.4. Securities Acquired (A) of Disposed Of (D) (Instr. 3, 4Code (Instr. 8)				5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)			
						Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150.4)		
Class A Cor	mmon Stock		11/15/20	017		С		24,000	A	\$0	24,000	I	By living trust		
				017		<b>a</b> (1)		24.000		<b>¢21 0 4</b> (2)		Ţ	By living		

Class A	Common	Stock
1		

Class A Common Stock

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

**S**<sup>(1)</sup>

24,000

\$21.84<sup>(2)</sup>

0

22,048

T

D

trust

D

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		of		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Class B Common Stock <sup>(3)</sup>	(3)	11/15/2017		С			24,000	(3)	(3)	Class A Common Stock	24,000	\$0	593,772	Ι	By living trust
Employee Stock Option to Purchase Class A Common Stock <sup>(4)</sup>	\$15.83							08/12/2015 <sup>(5)</sup>	08/11/2024	Class A Common Stock	178,200		178,200	D	
Employee Stock Option to Purchase Class A Common Stock <sup>(6)</sup>	\$14.74							02/01/2017 <sup>(7)</sup>	01/31/2026	Class A Common Stock	4,545		4,545	D	
Employee Stock Option to Purchase Class A Common Stock <sup>(6)</sup>	\$12.4						-	02/01/2018 <sup>(7)</sup>	01/31/2027	Class A Common Stock	5,403		5,403	D	

Explanation of Responses:

1. The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on August 21, 2017.

11/15/2017

2. The price reported in Column 4 is a weighted-average price. The prices actually received range from \$21.75 to \$22.05. For all transactions reported in this Form 4 utilizing a weighted-average price, the reporting person undertakes to provide upon request by the SEC staff, the issuer, or a security holder of the issuer, full information regarding the number of shares sold at each separate price within the range.

3. Each share of Class B Common Stock is convertible, at any time at the election of the holder, into one share of Class A Common Stock. In addition, each share of Class B Common Stock will convert automatically into one share of Class A Common Stock upon (i) the date specified by the holders of at least 66 2/3% of the outstanding shares of Class B Common Stock, (ii) any transfer, except for certain "qualified transfers" (as defined in the Issuer's Certificate of Incorporation) or (iii) upon the death of a natural person holding shares of Class B Common Stock (subject to certain exceptions as defined in the Issuer's Certificate of Incorporation).

4. Granted pursuant to 2009 Unit Incentive Plan.

5. Vests as to 25% of the shares on the first anniversary of the grant date and as to 6.25% of the shares at the end of each three-month period thereafter.

6. Granted pursuant to the 2014 Equity Incentive Plan.

7. Vests in three equal annual installments commencing on the first anniversary of the grant date.

**Remarks:** 

/s/ Troy M. Calkins as attorney- 11/17/2017

<u>in-fact for Joseph H. Howell</u>

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.