### FORM 4

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

Washington,	$D \subset$	205/10
wasiiiigton,	D.C.	20549

# STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL										
OMB Number:	3235-0287									
Estimated average burden										
hours per response:	0.5									

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person*  TROM JEFF D.				2. Issuer Name and Ticker or Trading Symbol WORKIVA INC [ WK ]								Relationship of Reporting Person(s) to Issuer (Check all applicable)  Director X 10% Owner						
(Last) (First) (Middle) 2900 UNIVERSITY BOULEVARD					3. Date of Earliest Transaction (Month/Day/Year) 04/23/2021							X	X Officer (give title below) Other (specify below)  Executive VP & CTO					
(Street) AMES IA 50010				4. If Amendment, Date of Original Filed (Month/Day/Year)							6. Indiv	G. Individual or Joint/Group Filing (Check Applicable Line)     X Form filed by One Reporting Person     Form filed by More than One Reporting Person						
(City) (State) (Zip)																		
Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned  1. Title of Security (Instr. 3)  2. Transaction  2. Transaction  2. Transaction  2. Deemed  3.  4. Securities Acquired (A) or  5. Amount of  6. Ownership  7. Nature of														7. Nature of				
Date			Date	. Transaction Pate Month/Day/Year)		2A. Deemed Execution Date, if any (Month/Day/Year)		3. 4. Securities Ad Disposed Of (D Code (Instr. 8)		D) (Instr. 3, 4 and 5)		5. Amount of Securities Beneficially Owned Following Reported Transaction(s)		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		Indirect Beneficial Ownership		
									v	Amount (A) or (D)		Price	(Instr. 3 and 4)				(Instr. 4)	
Class A Common Stock 04			04/23	3/2021		S <sup>(1)</sup>		24,195	D	\$96.09 <sup>(2)</sup>	50,805			I	By revocable trust			
Class A Common Stock 0				04/23	3/2021		S <sup>(1)</sup>		805	D	\$96.68 <sup>(3)</sup>	50,000			I	By revocable trust		
Class A (	Common St	ock										270,976			D			
										sed of, or onvertible			ned					
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		Derivative Acquired Disposed	Number of erivative Securities equired (A) or sposed of (D) estr. 3, 4 and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)			es g Security	8. Price of Derivative Security (Instr. 5)	derivati Securiti Benefic Owned	urities eficially	10. Ownership Form: Direct (D) or Indirect	Beneficial Ownership	
	Security			Code	v	(A)	(D)	Date Exerc	cisable	Expiration Date	Title	Amount or Number of Shares		Followin Reporte Transac (Instr. 4)	tion(s)	(I) (Instr.	)	
Class B Common Stock <sup>(4)</sup>	(4)	04/23/2021		G	V		171,000 <sup>(5)</sup>	(4)		(4)	Class A Common Stock	171,000	\$0	878,645		I	By revocable trust	
Class B Common Stock <sup>(4)</sup>	(4)	04/23/2021		G	V	57,000 <sup>(5)</sup>		(4)		(4)	Class A Common Stock	57,000	\$0	57,000		I	By irrevocable trust	
Class B Common Stock <sup>(4)</sup>	(4)	04/23/2021		G	V	57,000 <sup>(5)</sup>		(4)		(4)	Class A Common Stock	57,000	\$0	57,0	000 I		By irrevocable trust	
Class B Common Stock <sup>(4)</sup>	(4)	04/23/2021		G	V	57,000 <sup>(5)</sup>		(4)		(4)	Class A Common Stock	57,000	\$0	57,0	000	I	By irrevocable trust	
Class B Common Stock <sup>(4)</sup>	(4)							(4)		(4)	Class A Common Stock	328,402		328,402		I	By charitable remainder trust	
Employee Stock Option to Purchase Class A Common Stock <sup>(6)</sup>	\$12.4							02/01/2018 <sup>(7</sup>		01/31/2027	Class A Common Stock	25,215		25,21		D		
Employee Stock Option to Purchase Class A Common Stock <sup>(6)</sup>	\$14.74							02/01/2017 <sup>(7)</sup>		01/31/2026	Class A Common Stock	21,212		21,212		D		

### Explanation of Responses

\$15.83

Employee Stock Option to

Purchase Class A

Common Stock<sup>(8)</sup>

- 1. The sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person on December 15, 2020 in accordance with Rule 10b5-1 of Securities Exchange Act of 1934, as amended. This Rule 10b5-1 plan provides for fixed sales of a total of up to 300,000 shares of stock options and Class A Common Stock through January 2022.
- 2. The price reported in Column 4 is a weighted-average price. The price actually received ranges from \$95.63 to \$96.62. For all transactions reported in this Form 4 utilizing a weighted-average price, the Reporting Person undertakes to provide upon request by the SEC staff, the issuer, or a security holder of the issuer, full information regarding the number of shares sold at each separate price within the range.

08/12/2015(9)

Class A Common Stock

08/11/2024

159,592

159,592

D

- 3. The price reported in Column 4 is a weighted-average price. The price actually received ranges from \$96.65 to \$96.78. For all transactions reported in this Form 4 utilizing a weighted-average price, the Reporting Person undertakes to provide upon request by the SEC staff, the issuer, or a security holder of the issuer, full information regarding the number of shares sold at each separate price within the range.
- 4. Each share of Class B Common Stock is convertible, at any time at the election of the holder, into one share of Class A Common Stock. In addition, each share of Class B Common Stock will convert automatically into one share of Class A Common Stock upon (i) the date specified by the holders of at least 66 2/3% of the outstanding shares of Class B Common Stock, (ii) any transfer, except for certain "qualified transfers" (as defined in

the Issuer's Certificate of Incorporation) or (iii) upon the death of a natural person holding shares of Class B Common Stock (subject to certain exceptions as defined in the Issuer's Certificate of Incorporation).

- 5. On April 23, 2021, the reporting person contributed 57,000 shares of indirectly owned Class B Common Stock to separate irrevocable trusts for the benefit of each of his three children, who share the reporting person's household. The reporting person's sister is the trustee of each irrevocable trust.
- 6. Granted pursuant to the 2014 Equity Incentive Plan.
- $7.\ Vests\ in\ three\ equal\ annual\ installments\ commencing\ on\ the\ first\ anniversary\ of\ the\ grant\ date.$
- 8. Granted pursuant to 2009 Unit Incentive Plan.
- 9. Vests as to 25% of the shares on the first anniversary of the grant date and as to 6.25% of the shares at the end of each three-month period thereafter.

### Remarks:

/s/ Brandon E. Ziegler as attorney-in-fact for Jeffrey D. 04/27/2021 Trom 04/27/2021

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.